

Extension of Constants, Rigidity, and the Chowla–Zassenhaus Conjecture

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Let $f \in \mathbb{Q}[y]$ be a polynomial of degree n over the rationals. Assume f is indecomposable and consider the splitting field Ω_f of $f(y) - x$ over $\mathbb{Q}(x)$. Denote the constants of Ω_f by $\hat{\mathbb{Q}}_f$. Then, $\hat{\mathbb{Q}}_f \subset \mathbb{Q}(\zeta_n)$ where ζ_n is a primitive n th root of 1. When $n = p$, a prime, and $f = x^p$ (cyclic polynomial), $\hat{\mathbb{Q}}_f = \mathbb{Q}(\zeta_p)$. When $f = T_p$, the p th Chebychev polynomial, $\hat{\mathbb{Q}}_f = \mathbb{Q}(\zeta_p + \zeta_p^{-1})$. Cohen raised the following question. If $\hat{\mathbb{Q}}_f$ is nontrivial (f has nontrivial extension of constants), is it then true that f is linearly equivalent over $\overline{\mathbb{Q}}$ to a cyclic or Chebychev polynomial? We show this is false for each non-square odd integer n . This uses elementary group theory and the Branch Cycle Argument. Such f also give counterexamples to a conjecture of Chowla and Zassenhaus: For all sufficiently large p (dependent on the degree of f), $f(x) - b$ is irreducible for some $b \in \mathbb{F}_p$. That is, we show for these particular f 's, for infinitely many p , there is no $b \in \mathbb{F}_p$ so that $f(x) - b$ is irreducible over \mathbb{F}_p . Also, for these p , there is no $b \in \mathbb{F}_p$ so that $f(x) - b$ splits completely over \mathbb{Z}/p . Further, using Müller's classification of geometric monodromy groups of polynomials we show n must be odd for such counterexamples. These are (A_n, S_n) realizations by polynomials over \mathbb{Q} . More delicate examples require rigidity applied to non-Galois covers. These contrast the arithmetic of covers with and without using braid operations on branch cycle descriptions. Braid operations describe four families of covers that include the renowned Davenport polynomials of degree 7. © 1995 Academic Press, Inc.

Basic tools for analyzing properties of a polynomial $f: \mathbb{C} \cup \{\infty\} \rightarrow \mathbb{C} \cup \{\infty\}$ by $x \mapsto f(x)$ appear in Section 1. This includes reminders of Riemann's existence theorem and some perturbations of the *Branch Cycle Argument*. We clarify the use of the latter for non-Galois covers and applica-

tions to forms of *rigidity* in Section 3. For each odd, nonsquare integer $n > 3$, Proposition 2.2 gives counterexamples to Cohen's question and so to the Chowla–Zassenhaus conjecture (Finite Field Application 3.2) by reduction modulo suitable primes p . These examples are polynomials over \mathbb{Q} whose *geometric monodromy group* is the alternating group A_n , but whose *arithmetic monodromy group* is S_n (see Section 1). Call this an (A_n, S_n) realization over \mathbb{Q} . With no loss for computation of arithmetic and geometric monodromy groups, take f monic. This can simplify notation. From Hilbert's time, many have considered realizing alternating groups as Galois groups of regular extensions of \mathbb{Q} . For example, [Se, Section 9.2] uses Mestre's examples [Me] to realize the *spin group cover* of A_n .

The present paper considers a subtle complement of this problem: Find degree n extensions $L/\mathbb{Q}(x)$ with the constants of L equal to \mathbb{Q} , giving an (A_n, S_n) realization. We consider only the subcase where L is of genus zero and has a totally ramified place over $\mathbb{Q}(x)$. Proposition 2.2 and Example 4.3 use little theory. We show, however, why a classification of $f \in \mathbb{Q}[y]$ (by their *branch cycles* as in Section 1) giving (A_n, S_n) realizations is probably very difficult. It requires forms of rigidity and its generalizations. Section 4 lists examples, more challenging than Proposition 2.2, in increasing difficulty and historical interest. Still, they display practical tools for the arithmetic of covers.

Proposition 2.2 does not work when n is an odd square. Example 4.4 discusses alternative approaches to Proposition 2.2 in this case using irrational conjugacy classes other than the n -cycle. These do not produce (A_n, S_n) realizations, for example, when $n = 9$. This is evidence they may not exist when n is an odd square. Example 4.5 is a three branch point cover where the transitivity assumption for rigidity fails. This gives polynomials where the *cyclotomic character*, via the Branch Cycle Argument of Section 1, does not correctly identify their field of definition.

Finally, Example 4.7 contrasts two cases: all finite branch cycles are 3-cycles (Example 4.3) and all finite branch cycles are products of two disjoint 2-cycles. The former is almost trivial. For the latter, the first serious case, $n = 7$, is entirely nontrivial.

Here there are four connected families of polynomials. One pair of families are conjugate over $\mathbb{Q}(\sqrt{-7})$ and they have geometric monodromy group $\text{PSL}_3(\mathbb{Z}/2)$. While this is a proper subgroup of A_7 , we include this example as it has not been done before in the literature. Doing so illustrates how the method must contend with subgroups having generating conjugacy classes resembling those of the target group. See [Se, Section 7] or [Fr3, Section 5] for this event in Thompson's proof; the Monster simple group is a Galois group over \mathbb{Q} . In Example 4.7, these produce families of polynomial pairs (f, g) of degree 7 over $\mathbb{Q}(\sqrt{-7}) = K$. This is the smallest degree for the following arithmetic phenomena: For almost

all prime ideals of the ring of integers of K , f and g have exactly the same ranges on the residue class fields of the prime ideal. Yet, f is indecomposable (Section 1) and not linearly related to g (even over \mathbb{C}). Historical Remarks 4.2 tells the significance of there being only six such families with this arithmetic property. None have polynomial members defined over \mathbb{Q} .

In the second pair of families in Example 4.7, the polynomial members have geometric monodromy group A_7 . Do polynomials in these families give (A_7, S_7) realizations? Example 4.7 shows that the families are unirational varieties over the field $K = \mathbb{Q}(\sqrt{21})$. They are conjugate over K . Thus, they produce no (A_7, S_7) realizations by polynomials over \mathbb{Q} . The final calculation for this reduces to a computation that is in some tables prepared by Malle for polynomials having three branch points [Mal]. Synopsis 4.9 provides a short list of problems on (A_n, S_n) realizations we consider charming, challenging, and likely to be helpful for other researchers.

This paper shows what you can do without using *Hurwitz spaces*. Still, as Example 4.7 shows, they afford more precise analysis. Rational function covers offer a variant on these problems with many known applications. There has been considerable work on the classification of (geometric) monodromy groups of rational functions [GT]. We expect general results similar to those of Müller [M]. The gist: cyclic, elementary affine (in $\mathbb{Z}/n \times^s (\mathbb{Z}/n)^*$), symmetric, and alternating groups occur in abundance, but there should be only finitely many other monodromy groups of indecomposable rational functions. Inspecting arithmetic monodromy groups is more difficult. For rational functions, even dihedral group covers offer more difficult arithmetic than do polynomials [Fr3, Section 8].

Comment on use of group theory. In most notation for the action of groups on a set, this paper places group elements on the left of the elements of the set. For example, in Section 3, $C_{\pi(i)}$ has τ in the symmetric group S_r on $\{1, \dots, r\}$ acting on the left of the integer i . However, in a group computation, we multiply from left to right, with action on the right of the set. Thus, $(1\ 2\ 3)(1\ 3\ 4) = (1\ 2\ 4)$ takes 1 to 2, and not 1 to 1 as you would have with action on the left.

We often use Marggraf's Theorem from 1892 without explicitly citing it [Wi, p. 38, Thm. 13.8]. It says that a primitive group G of degree n containing a t -cycle with $1 < t < n$ is $n - t + 1$ -fold transitive. If $t \leq n/2$, then $G = A_n$ or S_n .

1. BASIC FIELD THEORY TOOLS

Let F be an arbitrary subfield of \mathbb{C} and let \bar{F} be its algebraic closure. Suppose $L/F(x)$ is a finite extension of degree n . We say $L/F(x)$ is *regular*

(over F) if $L \cap \bar{F} = F$; the constants of L are just F . Let $\hat{L}/F(x)$ be its Galois closure: the least Galois extension of $F(x)$ containing L . The featured extensions of this paper have $L/F(x)$ regular, but $\hat{L}/F(x)$ is not. Use \hat{F}_L to denote the constants $\hat{L} \cap \bar{F}$ of \hat{L} . By acting on a primitive generator for $L/F(x)$, $\hat{G} = G(\hat{L}/F(x))$ faithfully embeds in S_n as a transitive subgroup. This embedding is unique up to conjugation of the image by an element of S_n .

The extension is *primitive* if the representation is primitive. This is equivalent to no group being properly between \hat{G} and the stabilizer $\hat{G}(1)$ of the integer 1 in \hat{G} . From Galois theory, this means there are no fields properly between L and $F(x)$. If $L = F(y)$, $x = f(y)$ for some rational function f . Then, $L/F(x)$ is primitive if and only if f is *indecomposable over F* : $f(y) \neq f_1(f_2(y))$ for any $f_1, f_2 \in F(y)$ with $\deg(f_i) > 1$, $i = 1, 2$. Degree is as usual for rational functions, maximum of degrees of the numerator and denominator, when these are relatively prime. Polynomials are indecomposable over F (in characteristic 0) if and only if they are indecomposable over \bar{F} : we just say they are indecomposable [Fr5].

For any $x' \in \bar{F} \cup \{\infty\}$, denote formal Laurent series in $x - x'$ by $\bar{F}((x - x'))$. Replace $x - x'$ by $1/x$ if $x' = \infty$. The algebraic closure of $\bar{F}((x - x'))$ is $\bigcup_{e=1}^{\infty} \bar{F}(((x - x')^{1/e}))$. Thus, the absolute Galois group of $\bar{F}((x - x'))$ is procyclic. Its generator $\sigma_{x'}$ maps $(x - x')^{1/e}$ to $\zeta_e(x - x')^{1/e}$, $e = 2, 3, \dots$. Here $\zeta_e = e^{2\pi i/e}$. Since $\hat{L}\bar{F}((x - x'))/\bar{F}((x - x'))$ is Galois, there is a minimal integer e with \hat{L} embedding in $\bar{F}(((x - x')^{1/e}))$ as the identity on $\bar{F}(x)$. Compose one embedding $\psi_{x'}: \hat{L} \rightarrow \bar{F}(((x - x')^{1/e}))$ with an automorphism of \hat{L} , to get any other. So, restriction of $\sigma_{x'}$ to \hat{L} defines a conjugacy class of elements in $G(\hat{L}/\bar{F}(x)) = G$.

Only finitely may x' have $e = e_{x'} > 1$. Label these $\mathbf{x} = (x_1, \dots, x_r)$, the *branch points* of the cover. If ψ_i is the embedding attached to x_i , name the corresponding automorphism σ_i . The r -tuple \mathbf{x} thus gives $\mathbf{C} = (C_1, \dots, C_r)$, an r -tuple of conjugacy classes in G , the branch cycle conjugacy classes of $L/F(x)$. We say x_i is *totally ramified* if σ_i is an n -cycle: conjugate in S_n to $(1\ 2\ \dots\ n)$. Let e_i , the *ramification index* of x_i , be the e attached to x_i .

RIEMANN'S EXISTENCE THEOREM 1.1 [Se, Chap. 6]. *We may choose ψ_i 's, $i = 1, \dots, r$, so*

- (i) $\sigma_1 \cdots \sigma_r = 1$, and
- (ii) *the σ_i 's generate (the transitive group) G .*

Conversely, suppose $x_1, \dots, x_r \in \bar{F}$ are distinct, and $\sigma_1, \dots, \sigma_r \in S_n$ satisfy (i) and (ii) with G transitive in S_n . Then there exists $L/F(x)$ producing this data as above. Such extensions, up to isomorphism over $\bar{F}(x)$, are in one-to-one association with $\sigma \in S_n^r$ satisfying (i) and (ii) up to conjugation by S_n .

An r -tuple arising from $L/\bar{F}(x)$, satisfying (i) and (ii), is a description of its *branch cycles*. Now we explain the *Branch Cycle Argument* from [Fr1, prelude to Thm. 5.1]. Suppose $L/\mathbb{Q}(x)$ is a regular extension. Let $\hat{L}/\mathbb{Q}(x)$ be its Galois closure. Denote the constants of \hat{L} as $\hat{\mathbb{Q}} = \hat{\mathbb{Q}}_L$. The arithmetic monodromy group of $L/\mathbb{Q}(x)$ is $\hat{G} = G(\hat{L}/\mathbb{Q}(x))$. Similarly, the geometric monodromy group is $G = G(\hat{L}/\hat{\mathbb{Q}}(x))$. Elements of $G_{\mathbb{Q}}$, the absolute Galois group of \mathbb{Q} , permute the branch points x_1, \dots, x_r of $L/\mathbb{Q}(x)$. This attaches a valuable permutation representation of $G_{\mathbb{Q}}$ to $L/\mathbb{Q}(x)$.

Adjoin all roots of 1 to \mathbb{Q} to get \mathbb{Q}^{cyc} . Let $\tau \in G_{\mathbb{Q}}$ act on roots of 1 through restriction: $G_{\mathbb{Q}} \rightarrow G(\mathbb{Q}^{\text{cyc}}/\mathbb{Q}) \cong \hat{\mathbb{Z}}^*$. Identify the image of τ with a supernatural integer $m_{\tau} \in \hat{\mathbb{Z}}^*$ from the invertible integers of the profinite completion of \mathbb{Z} . Also, use τ for the action of τ on x_1, \dots, x_r and so on C_1, \dots, C_r . Suppose $\mathbf{C}' = (C'_1, \dots, C'_r)$ is a collection of conjugacy classes of G . Let the least common multiple of orders of all elements in \mathbf{C}' be $N_{\mathbf{C}'} = N$. We say \mathbf{C}' is a *rational union* if putting elements of $C'_1 \cup \dots \cup C'_r$ to any power m prime to N gives the same set. Let G be a subgroup of H . Elements of a conjugacy class C of G define a unique conjugacy class in H . Denote this C^H . Take an embedding $\hat{L} \subset \bar{\mathbb{Q}}(((x - x_i)^{1/e_i}))$.

BRANCH CYCLE ARGUMENT 1.2. *Assumptions on $L/\mathbb{Q}(x)$ and the x_i 's are as above. The conjugacy classes $C_i^{\hat{G}}$ and $(C_{\tau(i)}^{m_{\tau}})^{\hat{G}}$, in \hat{G} , are the same, $i = 1, \dots, r$. For each i , denote the union over $\tau \in G_{\mathbb{Q}}$ of the conjugacy classes $C_{\tau(i)}^{\hat{G}}$ by $\hat{\mathbf{C}}(i)$. Then, $\hat{\mathbf{C}}(i)$ is a rational union in \hat{G} for each $i = 1, \dots, r$. Further, consider $\beta \in \hat{G}$ and $\tau \in G_{\mathbb{Q}}$ whose restrictions to $\hat{\mathbb{Q}}_L$ are equal. Then, with $m = m_{\tau}$, the conjugacy classes $\beta C_i \beta^{-1}$ and $C_{\tau(i)}^m$ of G are equal, $i = 1, \dots, r$.*

An illustrative case. Suppose $x_1 \in \mathbb{Q}$. Let m be an integer relatively prime to $\text{ord}(\sigma_1)$, $\sigma_1 \in C_1$. The result says that the m th power of σ_1 is conjugate to σ_1 in \hat{G} . This is due to the following. Choose $\tau \in G_{\mathbb{Q}}$ with $\tau(\zeta_{e_1}) = \zeta_{e_1}^m$. Apply τ to the coefficients of elements in $\bar{\mathbb{Q}}(((x - x_1)^{1/e_1}))$. Restricting τ to the image of an embedding of \hat{L} gives an automorphism of \hat{L} . Compute the action of conjugation by τ on σ_1 :

$$\tau \sigma_1 \tau^{-1}((x - x_1)^{1/e_1}) = \tau(\zeta_{e_1}(x - x_1)^{1/e_1}) = \zeta_{e_1}^m(x - x_1)^{1/e_1}.$$

It has the same effect as σ_1^m .

This leaves the statement on $\beta \in \hat{G}$. Consider the effect β has on the conjugacy class C_1 in G . Since $x_1 \in \mathbb{Q}$, τ acts on Puiseux expansions around x_1 . Thus, τ determines a decomposition group element for a place of \hat{L} above x_1 . Conclude from the first paragraph argument. ■

The Branch Cycle Argument has a more general statement over any field F . The complication is keeping track of the intersection of F with

\mathbb{Q}^{cyc} . Proposition 2.2 and the examples of Section 4 show how to apply the Branch Cycle Argument.

2. COUNTEREXAMPLES TO COHEN'S QUESTION

The Branch Cycle Argument easily gives polynomial counterexamples to Cohen's conjecture for each squarefree, odd integer n . A reader interested only in such can read Proposition 2.1 and the first part of the proof of Proposition 2.2. These require little exposition. Section 4 describes many counterexamples and analyzes more difficult points characterizing when polynomials over \mathbb{Q} give (A_n, S_n) realizations. Examples of the technique apply to many problems: [Fr2] and its applications to the Schur conjecture for rational functions and those presented in [Fr6]. That we are investigating polynomials simplifies Proposition 2.2 and the examples of Section 4. The arithmetic theory of covers with more than three branch points requires considerable additions to rigidity. This accounts for the length of [Fr1], [FrV] and its antecedents, whose general goals include understanding the whole inverse Galois problem. There will soon be books [MM and V] that give complete treatment of many aspects of Riemann's existence theorem. This includes applications of braid group actions, which [Se] does not illustrate.

Let $f \in \mathbb{Q}[y]$. Then, f gives a map $\mathbb{P}_y^1 = \overline{\mathbb{Q}} \cup \infty \rightarrow \mathbb{P}_x^1$ by $y \mapsto f(y) = x$. Consider the arithmetic monodromy group G_f of f : the Galois group of the splitting field Ω_f of $f(y) - x$ over $\mathbb{Q}(x)$. Denote constants of Ω_f by $\hat{\mathbb{Q}}_f$ (or $\hat{\mathbb{Q}}$ if the context is clear). Let x_1, \dots, x_r be the branch points of the map. Choose x_r to be ∞ , for ∞ is among the branch points. As in Riemann's existence theorem, let $\sigma_1, \dots, \sigma_r$ be a corresponding description of the branch cycles. Proposition 2.1 applies to an n -cycle in the proof of Proposition 2.2. Example 4.4 uses the general case.

PROPOSITION 2.1. *Let $L/\mathbb{Q}(x)$ be any regular extension of degree n with a branch point $x_i \in \mathbb{Q}$. As in Section 1, $G(\hat{L}/\hat{\mathbb{Q}}_L(x)) = G$, with $\hat{\mathbb{Q}}_L$ the constants of \hat{L} . Let C_i be the conjugacy class in G corresponding to x_i . Suppose, C_i is not rational in G . Then, $\hat{\mathbb{Q}}_L \not\subset \mathbb{Q}$. Now, assume C_i has this property.*

(†) $\sigma \in C_i$ has disjoint cycle form $(m_1)(m_2) \cdots (m_t)$, including m_i 's equal 1.

(‡) $m_i \neq m_j$ for $1 \leq i < j \leq t$.

Then, $\hat{\mathbb{Q}}_L \subset \mathbb{Q}(\zeta_m)$, where m is the least common multiple of m_1, \dots, m_t . In particular, with f as above and C_r not rational in G_f , $\hat{\mathbb{Q}}_f \cap \mathbb{Q}(\zeta_n) \neq \mathbb{Q}$.

Proof. To prove the first statement, apply Branch Cycle Argument 1.2 to the branch point $x_i \in \mathbb{Q}$. Conclusion: The conjugacy class of C_i is rational in \hat{G} . By assumption, it is not rational in G . Therefore, G is a proper subgroup of \hat{G} . This concludes the first part.

Now assume C_i satisfies (\dagger) and (\ddagger) . Each place of L above x_i corresponds to one disjoint cycle of the branch cycle for x_i . The place of the cycle of length m_j has ramification index m_j . Since $x_i \in \mathbb{Q}$, $G_{\mathbb{Q}}$ permutes the places above x_i . Action of $G_{\mathbb{Q}}$ preserves the ramification indices. So, (\ddagger) implies that each of these places is also \mathbb{Q} rational. The place corresponding to the cycle of length m_j gives an embedding of L into $\overline{\mathbb{Q}}((x - x_i)^{1/m_j})$. Call the image field L_j . We show the Galois closure of $L_j \mathbb{Q}((x - x_i)^{1/m_j}) / \mathbb{Q}((x - x_i)^{1/m_j})$ is actually into $\mathbb{Q}(\zeta_{m_j})((x - x_i)^{1/m_j})$. Then \hat{L} embeds in the composite, $\mathbb{Q}(\zeta_m)((x - x_i)^{1/m})$, of $\mathbb{Q}(\zeta_{m_j})((x - x_i)^{1/m_j})$, $j = 1, \dots, t$. The constants of this field extension are just $\mathbb{Q}(\zeta_m)$, so \hat{L} is a subfield of this. Our next statements use ramification theory [CaF, Sections 1.6–1.9].

With no loss we now assume $L/\mathbb{Q}((x - x_i))$ totally ramifies. For our applications consider $L = \mathbb{Q}(y)((1/x))$ with $f(y) = a_0 y^n + a_1 y^{n-1} + \dots + a_n = x$. We want the Puiseux expansions for y over $x = \infty$. The general case follows by taking a nonsingular model for L giving a cover of the x -line. Similarly, this has a local equation for each place over x_i defining the cover; exchange $1/x$ for a Laurent series in $x - x_i$. (See Thm. 1, p. 29, and Cor. 1, p. 32, of [CaF].)

In our case, expand y as a Laurent series in $x^* = x/a_0$ of the form

$$y = y(x^*)^{1/n} = x^{1/n} + b_0 + b_1 x^{*-1/n} + b_2 x^{*-2/n} + \dots$$

To see this, plug the formal expression for y into $f(y) = x$. Equate like terms in $x^{*-1/n}$ on both sides. Thus, inductively solve linear equations with coefficients in \mathbb{Q} for b_0, b_1, \dots . The other solutions of $f(y) = x$ are $y(\zeta_n^k x^{*-1/n})$, $k = 1, \dots, n$.

Our notation is now set for the last conclusion of the proposition: Ω_f embeds in $\mathbb{Q}(\zeta_n)((x^*)^{1/n})$. The constants of this latter field are clearly $\mathbb{Q}(\zeta_n)$. Thus, $\mathbb{Q}(\zeta_n)$ contains the constants of Ω_f . ■

Denote $(1 \ 2 \ \dots \ n)$ by σ_{∞} . An n -cycle is in A_n if and only if n is odd. Write n as $\prod_{i=1}^t p_i^{u_i}$ with the p_i 's distinct primes and the u_i 's positive. Define

$$M_n = \{k \in (\mathbb{Z}/n)^* \mid \sigma_{\infty}^k \text{ is conjugate to } \sigma_{\infty} \text{ in } A_n\}.$$

IRRATIONAL CYCLE LEMMA. Suppose $n > 4$ is odd. The conjugacy class of σ_{∞} in S_n breaks into two conjugacy classes in A_n . Suppose n is not a square; there is a prime $p_1 \mid n$ such that u_1 is odd. Let $k \in (\mathbb{Z}/n)^*$ have these properties: its image in $(\mathbb{Z}/p_1^{u_1})^*$ is a generator of this cyclic group,

and its image in $(\mathbb{Z}/p_i^{u_i})^*$ is 1, $i = 2, \dots, t$. Then, σ_x^k is not conjugate to σ_x in A_n . Conversely, if n is an odd square, σ_x^k is conjugate to σ_x in A_n for all $k \in (\mathbb{Z}/n)^*$. Let J be the integers from 1 to t with u_j odd. Denote $\sqrt{\prod_{j \in J} (-1)^{(p_j-1)/2} p_j}$ by α_n . For all odd n , the fixed field of M_n in $\mathbb{Q}(\zeta_n)$ is $\hat{\mathbb{Q}}_n = \mathbb{Q}(\alpha_n)$.

Proof. In S_n , all n -cycles are conjugate. Since the centralizer of an n -cycle is exactly the powers of the n -cycle, σ_x is not conjugate to $(1\ 2)\sigma_x(1\ 2)$ in A_n . Thus, the conjugacy class of σ_x in S_n breaks into two conjugacy classes in A_n . With k in the statement, we show σ_x^k and σ_x are not conjugate in A_n .

Note that σ_x^k maps $i \mapsto i + k \bmod n$, $i = 1, \dots, n$. Multiplication by k gives a permutation τ_k of the integers modulo n . Then, $\tau_k \sigma_x \tau_k^{-1}$ equals σ_x^k :

$$\tau_k \sigma_x \tau_k^{-1}(ki) = \tau_k \sigma_x(i) = \tau_k(i + 1) = ki + k.$$

We characterize those k with τ_k not in A_n . Recall that $(\mathbb{Z}/n)^* = \prod_{i=1}^t (\mathbb{Z}/p_i^{u_i})^*$. So, it suffices to check if $\tau_k \in A_n$ for $k = \mathbf{k}_i = (1, \dots, 1, k_i, 1, \dots, 1)$; the only non-identity entry is k_i , a generator of the cyclic group $(\mathbb{Z}/p_i^{u_i})^*$, in the i th position. Consider what happens with k equal $(k_1, 1, \dots, 1)$.

First, take the case $t = 1$, $u_1 = u$, and $k_1 = k$. Consider the cycle structure of τ_k on the integers modulo p^u . Multiplication by k on integers of \mathbb{Z}/p^u exactly divisible by p^i , $i < u$, gives one orbit of length $p^{u-i} - p^{u-i-1}$. For each i between 0 and $u - 1$, this cycle is of even length—not in A_n . (The orbit for $i = u$ is of length 1). Thus, the permutation is a product of u elements not in A_n (and it fixes exactly one integer). The total permutation from multiplication by k is in A_n if and only if u is even.

For the general case, write \mathbb{Z}/n as $\mathbb{Z}/p_1^{u_1} \times \mathbb{Z}/n'$. Multiplication by k is the identity on the second coordinate. Thus, it stabilizes each coset $\mathbb{Z}/p_1^{u_1} \times k'$ with $k' \in \mathbb{Z}/n'$. In particular, τ_k is the product of n' elements coming from the first case above. Thus, $\tau_k \in A_n$ if and only if u_1 is even. The converse comes by noting it suffices to check the elements \mathbf{k}_i above.

Finally, we identify the field $\hat{\mathbb{Q}}_n$. Identify the kernel of $\mu: (\mathbb{Z}/n)^* \rightarrow \mathbb{Z}/2$ by $k \in (\mathbb{Z}/n)^*$ maps to $\tau_k \bmod A_n$. In the above notation, \mathbf{k}_i goes to 1 if and only if $i \in J$. The unique quadratic extension of \mathbb{Q} inside $\mathbb{Q}(\zeta_p)$ is $\mathbb{Q}(\sqrt{(-1)^{(p-1)/2} p})$. Conclude by noting the kernel of μ is of index 2 in $(\mathbb{Z}/n)^*$ and it fixes α_n . ■

We generalize the Irrational Cycle Lemma (ICL) for Example 4.4. For $\sigma \in A_n$ of order m , define

$$M_\sigma = \{k \in (\mathbb{Z}/m)^* \mid \sigma^k \text{ is conjugate to } \sigma \text{ in } A_n\}.$$

We say M_σ is nontrivial if the conjugacy class of σ in A_n is not rational. That is, if M_σ is a proper subgroup of \mathbb{Z}/m .

Write m as $\prod_{i=1}^s q_i^{v_i}$ with the q_i 's distinct primes and the v_i 's positive. Denote the maximal power of q_i dividing m_j by $v_{i,j}$ and the vector $(v_{i,1}, \dots, v_{i,t})$ by \mathbf{v}_i . Finally, let $\mu: \mathbb{Z}^t \rightarrow \mathbb{Z}/2$ by $(a_1, \dots, a_t) \mapsto \sum_{j=1}^t a_j \pmod{2}$.

IRRATIONAL CYCLE ADDENDUM. Suppose $n > 4$. Denote the conjugacy class of $\sigma \in S_n$ by C_σ . Display the disjoint cycle form of σ as $(m_1) \cdots (m_t)$ as in (\dagger) of Proposition 2.1. The following are equivalent.

- (*) C_σ breaks into two conjugacy classes in A_n .
- (*) The centralizer of σ in S_n lies entirely in A_n .
- (*) (\dagger) of Proposition 2.1 holds ($m_i \neq m_j$ for $i \neq j$), and all the m_i 's are odd.

Further, M_σ is nontrivial if and only if $\mu(\mathbf{v}_i)$ is nonzero for some i between 1 and t . Let J be the integers from 1 to t with $\mu(\mathbf{v}_i)$ nonzero. Denote $\sqrt{\prod_{j \in J} (-1)^{(p_j-1)/2} p_j}$ by α_σ . The fixed field in $\mathbb{Q}(\zeta_m)$ of M_σ is $\hat{\mathbb{Q}}_\sigma = \mathbb{Q}(\alpha_\sigma)$.

Proof. Equivalence of (*) and (**) is at the beginning of the proof of the ICL. Now assume (**) holds. Suppose an m_i is even. Then, the cycle σ corresponding to m_i commutes with σ . Since m_i is even, the cycle is not in A_n , contrary to (*). Suppose two of the m_i 's, say m_1 and m_2 , are equal. Then, conjugate the m_1 -cycle of σ to the m_2 -cycle using a product τ of m_1 disjoint 2-cycles. Do this while leaving integers not in the support of these cycles fixed. Then, τ commutes with σ . Since m_1 is odd, τ is not in A_n . If (*) holds, (\dagger) implies the disjoint cycles of σ generate its centralizer. These cycles are of odd length. So, they are in A_n . Now we characterize when M_σ is nontrivial.

Let k be a generator of $(\mathbb{Z}/q_i^{v_i})^*$. As in the ICL, k acts by multiplication on $\bigoplus_{j=1}^t \mathbb{Z}/q_i^{v_{i,j}}$. Identify k with a permutation $\tau_k \in S_{V_i}$, with $V_i = \bigoplus_{j=1}^t q_i^{v_{i,j}}$. The rest follows from the ICL, if we show τ_k is in A_n if and only if $\mu(\mathbf{v}_i)$ is 0. For that, let $\tau_k(j)$ be the corresponding permutation of $\mathbb{Z}/q_i^{v_{i,j}}$. From the ICL, the j th coordinate of \mathbf{v}_i is zero mod 2 if and only if $\tau_k(j)$ is in A_n . Since τ_k is the product of the $\tau_k(j)$'s, it is in A_n exactly if $\mu(\mathbf{v}_i)$ is 0. We are done. ■

PROPOSITION 2.2 Let $n \geq 5$ be an odd integer. For $x_1, x_2 \in \mathbb{Q}$ distinct, there exists a unique polynomial $f \in \mathbb{Q}[y]$ (up to linear change of y) with the following properties. Its branch points are x_1, x_2 , and $x_3 = \infty$. The corresponding branch cycles have σ_1 a 3-cycle, σ_2 an $n-2$ -cycle, and σ_3 an n -cycle. Also, $\Omega_f \cap \overline{\mathbb{Q}}$ is the field $\hat{\mathbb{Q}}_n$ from the Irrational Cycle Lemma.

If n is a nonsquare, the extension $\mathbb{Q}(y)/\mathbb{Z}(x)$ with $f(y) = x$ gives an (A_n, S_n) realization. If n is a square, then $\mathbb{Q}(y)/\mathbb{Q}(x)$ gives an (A_n, A_n) realization.

Proof of Existence. We give counterexamples to the Cohen question and Chowla–Zassenhaus conjecture (from the Introduction; see Finite Field Application 3.2). To do this, produce examples $f \in \mathbb{Q}[y]$ of the polynomials of Proposition 2.2. Take f to be any antiderivative in $\mathbb{Q}[y]$ of $g(y) = (y - a)^2 y^{n-3}$ with $a \in \mathbb{Q}$, $a \neq 0$. Branch cycles for f over the finite branch points are a 3-cycle and an $n-2$ -cycle. If G_f is A_n , apply Proposition 2.1 and the Irrational Cycle Lemma to conclude that f gives an (A_n, S_n) realization when n is a nonsquare.

Since G_f contains a 3-cycle, if we show that it is primitive we are done. Suppose not. It contains an $n-2$ -cycle. Thus, any block of imprimitivity not supported entirely in the integers of the $n-2$ -cycle must contain only two integers. The length, however, of this block divides n , contrary to n being odd. So, it is A_n , and the proof of existence of f is complete. ■

The proof of the remainder of Proposition 2.2 is in Section 3. The proof of Proposition 2.1 produces automatic nontriviality of the constants of the Galois closure under the weaker assumptions of the next proposition. The easier half of [Fr1, Thm. 5.1] is more general in allowing any field of definition and including information from all branch points. Let $\{x_i, x_{i_2}, \dots, x_{i_k}\}$ be the orbit of $x_i = x_{i_1}$ under $G_{\mathbb{Q}}$. Let $e_i = e$ be the order of the elements in C_i , the conjugacy class in G attached to x_i . We define the multiplier of C_i :

$$M_i = \{m \in (\mathbb{Z}/e)^* \mid \cup_{j=1}^k C_{i_j}^m = \cup_{j=1}^k C_{i_j}\}.$$

Let $\hat{\mathbb{Q}}_{L,i}$ be the fixed field in $\mathbb{Q}(\zeta_e)$ of M_i . Use notation from Proposition 2.1.

Proposition 2.3. For each $i = 1, \dots, r$, $\hat{\mathbb{Q}}_L \supset \hat{\mathbb{Q}}_{L,i}$.

Remark 2.4. General extension of constants. [FrV, Prop. 3] gives a fuller story than Propositions 2.1 or 2.3 on extension of constants. Indeed, consider any pair (G, \hat{G}) of transitive subgroups of S_n . Assume $G \triangleleft \hat{G}$, and the following:

$$G \text{ has no center;} \quad (2.1)$$

$$\text{the centralizer of } G \text{ in } \hat{G} \text{ is trivial.} \quad (2.2)$$

Then, there is a finite extension F of \mathbb{Q} and $L/F(x)$ regular with $G(\hat{L}/F(x)) = \hat{G}$ and $G(\hat{L}/\hat{F}_L(x)) = G$. In particular, $[\hat{F}_L: F] = (\hat{G}: G)$.

Thus, extension of constants can be large even when each conjugacy class $C_i, i = 1, \dots, r$, is rational in G . Note that, given (2.1), (2.2) is necessary so \hat{G} can be a Galois closure group. This is a statement about the Galois closure process for an extension $L/F(x)$ and not pure group theory. It is equivalent to the following. Consider elements $\tau \in G(\hat{F}_L/F)$ that are images from $\hat{G} = G(\hat{L}/F(x))$ of a $\hat{\tau}$ for which conjugation on G by $\hat{\tau}$ equals conjugation by some $\gamma \in G = G(\hat{L}/\hat{F}_L(x))$. Since $L/F(x)$ is regular, we may assume $\hat{\tau}$ is fixed on L . As G has no center, given $\hat{\tau}$, γ is unique. Such τ form a subgroup H of $G(\hat{F}_L/F)$; (2.2) says H is trivial [Fr1, Prop. 2]. The argument there uses Weil's cocycle condition.

Proposition 2.2 shows that there are (A_n, S_n) realizations over \mathbb{Q} (for n odd and not a square) by taking the monodromy group of a polynomial over \mathbb{Q} . Remark 2.4 notes the existence of general (G, \hat{G}) realizations, except we may not achieve \mathbb{Q} as the field F of the realization. Recently we learned how to bound the genus (and number of branch points) of the function field $L/(x)$ giving the realization effectively. This also gives a bound on the degree of F over \mathbb{Q} .

3. RIGIDITY AND COMPLETION OF PROPOSITION 2.2

This section discusses a rigidity criterion. It applies to complete the proof of Proposition 2.2. Finite Field Application 3.2 shows that these (A_n, S_n) realizations from polynomials over \mathbb{Q} also give counterexamples to the Chowla–Zassenhaus conjecture. In Section 4 we look at other (A_n, S_n) realizations by polynomials. With a result of Müller, we show that all counterexamples to Cohen's question are of odd degree and have A_n as geometric monodromy group.

[Fr1, Thm. 5.1] gives a partial converse to the Branch Cycle Argument. It uses moduli spaces to consider extensions $L/\mathbb{Q}(x)$ with a given pair (G, \hat{G}) as arithmetic and geometric monodromy groups (Section 1). If $[L:\mathbb{Q}(x)] = n$, both G and \hat{G} are naturally subgroups of S_n with G normal in \hat{G} . For applications, start with G and conjugacy classes $\mathbf{C} = (C_1, \dots, C_r)$ of generators $(\sigma_1, \dots, \sigma_r) \in G^r$.

We say we have a (G, H, \mathbf{C}) realization over \mathbb{Q} if there is $L/\mathbb{Q}(x)$ of degree n with these properties. The geometric (resp., arithmetic) monodromy group of $L/\mathbb{Q}(x)$ is G (resp., H). Also, the geometric Galois closure $\mathbb{Q}\hat{L}/\mathbb{Q}(x)$ has conjugacy class data \mathbf{C} in G . Given (G, \mathbf{C}) , the Branch Cycle Argument shows there is a natural group containing all possible groups H :

$$\hat{G}^{\mathbf{C}} = \{\beta \in S_n \mid \exists \tau \in S_r, m \in (\mathbb{Z}/N_{\mathbf{C}})^* \text{ with } \beta C_i \beta^{-1} = C_{\tau(i)}^m, i = 1, \dots, r\}.$$

Let H be a group between G and $\hat{G}^{\mathbf{C}}$. As before the Branch Cycle Argument, denote the conjugacy classes in H corresponding to \mathbf{C} by \mathbf{C}^H .

Assume:

- (iii)_H \mathbf{C}^H is a rational union of conjugacy classes in H ;
- (iv) G has no center (so from (2.2), no element of $H \setminus G$ centralizes G).

The converse to the Branch Cycle Argument associates to (G, H, \mathbf{C}) an algebraic set $\mathcal{H}(G, H, \mathbf{C})$. This has a natural unramified covering map to the space $\mathbb{P}^r \setminus D_r$ of unordered distinct r -tuples of elements of \mathbb{P}^1 . ([FrV] gives details.) The definition of $\mathcal{H}(G, H, \mathbf{C})$ includes conjugation by H in the equivalence on r -tuples of branch cycles attached to \mathbf{C} . This is as in Riemann's Existence Theorem. From (iii)_H, \mathbb{Q} is a field of definition of $\mathcal{H}(G, H, \mathbf{C})$ and its map to $\mathbb{P}^r \setminus D_r$: (iii)_H is necessary and sufficient for this.

Further, suppose $G \leq H \leq H' \leq \hat{G}^{\mathbf{C}}$. Then, there is a (finite) map

$$\Psi_{H,H'} : \mathcal{H}(G, H, \mathbf{C}) \rightarrow \mathcal{H}(G, H', \mathbf{C}).$$

If (iii)_H and (iii)_{H'} hold, \mathbb{Q} is also a field of definition for $\Psi_{H,H'}$. The next statement considers points $\mathbf{p} \in \mathcal{H}(G, G, \mathbf{C})$. Take V an absolutely irreducible component of $\mathcal{H}(G, G, \mathbf{C})$ containing \mathbf{p} . Automatically—because $\mathcal{H}(G, G, \mathbf{C})$ is a manifold— $\mathbb{Q}(\mathbf{p})$, the field generated by the \mathbf{p} coordinates, contains the field of definition of V . Also, $G(1)$ denotes the stabilizer in $G \leq S_n$ of the integer 1.

CONVERSE OF BRANCH CYCLE ARGUMENT [Fr1], [FrV]. *Besides (iv), assume the embedding of G in S_n satisfies one of these:*

- (v) *it is regular representation of G ;*
- (vi) *the normalizer of $G(1)$ in G is just $G(1)$.*

Here is a diophantine condition equivalent to existence of a (G, H, \mathbf{C}) realization $L/\mathbb{Q}(x)$ of degree n , over \mathbb{Q} . There is a point $\mathbf{p} \in \mathcal{H}(G, G, \mathbf{C})$ whose image in $\mathcal{H}(G, H, \mathbf{C})$ has coordinates in \mathbb{Q} and $[\mathbb{Q}(\mathbf{p}) : \mathbb{Q}] = (H : G)$. (Since the \mathcal{H} 's are moduli spaces, this applies with any field K replacing \mathbb{Q} .)

The spaces \mathcal{H} are manifolds. Thus, they cannot have rational points unless they have absolutely irreducible components over \mathbb{Q} . We now discuss a case of rigidity, a little more general than [Se, Section 7.3]. Any variant on rigidity not using braid group action, including this one, applies rarely unless $r = 3$. When $r = 3$, an \mathcal{H} is either $(\mathbb{P}^1)^3$ with the fat diagonal removed, or a quotient of it by a subgroup of S_3 . Trivially, these have a dense set of rational points. Example 4.7 gives practical ingredients for showing a space $\mathcal{H}(G, H, \mathbf{C})$ is unirational when $r = 4$.

Take $(C_1, C_2, C_3) = \mathbf{C}$ to be three conjugacy classes from G . Let $\Sigma(\mathbf{C}^H)$ be the collection of $\sigma = (\sigma_1, \sigma_2, \sigma_3) \in G^3$, where (i) and (ii) hold (Section 1) and $\sigma_i \in C_i^H$, $i = 1, 2, 3$. Here $h \in H$ acts on $\Sigma(\mathbf{C}^H)$ by conjugation:

$$h\sigma h^{-1} = (h\sigma_1 h^{-1}, h\sigma_2 h^{-1}, h\sigma_3 h^{-1}).$$

Rigidity Condition. Assume (G, H, \mathbf{C}) satisfies (iii)_H and (iv). Then, (G, H, \mathbf{C}) -rigidity holds if H is transitive on $\Sigma(\mathbf{C}^H)$. For $r > 3$, [FrI, Thm. 5.1 and FrV] generalized transitivity of G with *braid transitivity*. This is transitivity of the combined action of the Artin braid group and H on the set analogous to $\Sigma(\mathbf{C}^H)$ (see Example 4.7).

Turn the definition of $G^{\mathbf{C}}$ upside down to produce the group of a valuable cyclotomic field. Consider H with $G \leq H \leq \hat{G}^{\mathbf{C}}$. Recall $N_{\mathbf{C}}$ and define

$$\begin{aligned} M_H &= \{m \in (\mathbb{Z}/N_{\mathbf{C}})^* \mid \exists \tau \in S_r, \exists \beta \in H \text{ with } \beta C_i \beta^{-1} \\ &= C_{\tau(i)}^m, i = 1, \dots, r\}. \end{aligned}$$

RIGIDITY RESULTS 3.1. Assume (G, G, \mathbf{C}) -rigidity and (v) hold. Then, there is a regular extension $\hat{L}/\mathbb{Q}(x)$ with Galois group G . More generally, suppose (G, H, \mathbf{C}) -rigidity and either (v) or (vi) hold. Then, there is an extension $L/\mathbb{Q}(x)$ giving a (G, H', \mathbf{C}) realization over \mathbb{Q} with $G \leq H' \leq H$. Further, $\hat{L} \cap \overline{\mathbb{Q}} = \hat{\mathbb{Q}}$ contains the fixed field in $\mathbb{Q}(\zeta_{N_{\mathbf{C}}})$ of M_H .

Suppose (G, G, \mathbf{C}) -rigidity and (vi) hold. Replace the representation giving (vi) with the regular representation to give (v). Given the conclusion of the Rigidity Results, take the fixed field of $G(1)$ in the resulting extension. Thus, recover existence of the degree n extension giving the prescribed Galois closure. We conclude that the first statement of the Rigidity Results is equivalent to the statement with (vi) replacing (v).

Proof that Proposition 2.2 Illustrates rigidity. After Proposition 2.2 we constructed polynomial counterexamples to Cohen's question. The simple ramification properties of these polynomials made them easy to work with. Here, however, we redo that argument, illustrating rigidity. This gives something new when n is a square.

Let $n > 3$ be an odd integer. Suppose \mathbf{C}^{S_n} are the conjugacy classes, in S_n , of the triple $(\sigma_1, \sigma_2, \sigma_3) \in A_n^3$ with $\sigma_1 = (1\ 2\ 3)$, $\sigma_2 = (1\ 4\ 5\ \dots\ n)$, and $\sigma_3 = (1\ 2\ \dots\ n)^{-1}$. Our previous argument showed such elements generate A_n . We show any other triple from $\Sigma(\mathbf{C}^{S_n})$ is conjugate to this under S_n .

With no loss take such a triple to be $\tau = (\tau_1, \tau_2, \tau_3)$ with $\tau_3 = \sigma_3$. Conjugating by a power of σ_3 assures the common integer in the 3-cycles τ_1 and τ_2 is one. Product condition (i) now detects the τ 's equal to the σ 's.

When n is a nonsquare, conclude the following from Rigidity Results 3.1. There is a degree n cover unique (up to equivalence), $\phi: X \rightarrow \mathbb{P}^1$,

having these branch cycles, defined over \mathbb{Q} with x_1, x_2 , and ∞ as branch points. It realizes the monodromy group pair (A_n, S_n) . With $H = S_n$, the Irrational Cycle Lemma says that the subgroup M_H of $(\mathbb{Z}/n)^*$ equals M_n . Thus, it produces $L/\mathbb{Q}(x)$ with $\hat{\mathbb{Q}}_L = \hat{\mathbb{Q}}_n$. The Riemann–Hurwitz formula gives its genus as g with

$$2(n + g - 1) = 2 + n - 3 + n - 1 = 2(n - 1).$$

So, $g = 0$ and the point over ∞ totally ramifies. A polynomial map gives this.

Now let $n > 5$ be an odd square. The Irrational Cycle Lemma shows that both conjugacy classes of n -cycles in A_n are rational. Therefore, so are all the classes of \mathbb{C} . The argument above, with $H = A_n$ replacing $H = S_n$, shows that the hypotheses of the Rigidity Results 3.1 hold. Thus, we get an (A_n, A_n) realization over \mathbb{Q} . I have never seen these alternating group realizations in the literature. ■

We use the examples of Proposition 2.2 (and Section 4) to answer questions over finite fields. These require analogs of the Chebotarev density theory. See the examples of [FrJ, Section 19.6] and references therein for details and other examples. Consider $f \in F[y]$. The geometric monodromy group of $f : \mathbb{C} \cup \{\infty\} \rightarrow \mathbb{C} \cup \{\infty\}$ contains a uniquely defined conjugacy class of n -cycles from ramification over ∞ (proof of Proposition 2.1). Denote this class by C_∞ . We often choose it as the last conjugacy class C_r in a branch cycle description.

We may apply the Branch Cycle Argument to any tamely ramified cover over any perfect field F . To illustrate the adjustments let $F \subset \mathbb{C}$. Consider

$$M_{F,n} = G(F(\zeta_n)/F) = G(\mathbb{Q}(\zeta_n)/F \cap \mathbb{Q}(\zeta_n))$$

as a subgroup of $(\mathbb{Z}/n)^*$. Apply the Branch Cycle Argument (over F) to a regular field extension $L/F(x)$, totally ramified over infinity. (Notation for \hat{L} , \hat{G} , and \hat{F}_L is in Section 1.) It says σ_x^k is conjugate to σ_x in \hat{G} for all $k \in M_{F,n}$. Also, if σ_x^k and σ_x are not conjugate in G , then k has nontrivial image in $G(\hat{F}_L \cap F(\zeta_n)/F)$. Each $\tau \in \hat{G}/G$ defines a coset of G in \hat{G} . Call this τG .

FINITE FIELD APPLICATION 3.2. *Let F be a number field with ring of integers \mathcal{O}_F . Consider $f(y) \in F[y]$. Suppose $F(y)/F(x)$, with $f(y) = x$, gives a (G, \hat{G}) realization over F . Assume, further, these properties of $\sigma_x \in C_\infty$.*

There exists (k, n) with σ_x^k not conjugate to σ_x in G . (3.1a)

There exists $\tau \in \hat{G}/G$ with τG containing no n -cycle. (3.1b)

Then, for infinitely many prime ideals \mathcal{P} of O_F , no $b \in O_F/\mathcal{P} = \mathbb{F}_{\mathcal{P}}$ has either of the following properties.

$f(x) - b$ factors completely over $\mathbb{F}_{\mathcal{P}}$. (3.2a)

$f(x) - b$ is irreducible over $\mathbb{F}_{\mathcal{P}}$. (3.2b)

In particular, for f of Proposition 2.2 (and Example 4.3), and infinitely many primes p , there is no $b \in \mathbb{Z}/p$ for which either (3.2a) or (3.2b) holds.

Proof. Let Ω_f be the splitting field of $f(y) - x$ over F . Similarly, let $\Omega_{f,\mathcal{P}}$ be the splitting field of $f(y) - x$ over $\mathbb{F}_{\mathcal{P}}$. Choose τ as in the statement of this application. Here is the result of the Chebotarev analog in [Fr8, Prop. 2]. For infinitely many \mathcal{P} , $G(\Omega_{f,\mathcal{P}}/\mathbb{F}_{\mathcal{P}}(x))$ is isomorphic to the subgroup of \hat{G} generated by G and τ . Further, we may assume

$$\Omega_{f,\mathcal{P}} \cap \bar{\mathbb{F}}_{\mathcal{P}}(x) = \hat{\mathbb{F}}_{\mathcal{P}}$$

has its Frobenius generator over $\mathbb{F}_{\mathcal{P}}$ equal to τ . For such \mathcal{P} , we show there is no $b \in \mathbb{F}_{\mathcal{P}}$ with either of the properties (3.2a) or (3.2b).

Suppose, to the contrary, there is such a b . The non-regular Chebotarev analog (as in [Fr8, Prop. 2 or FrJ, Prop. 5.16]) says the following when $|\mathbb{F}_{\mathcal{P}}|$ is suitably large. There is a non-branch point b (for the cover given by f) for which $f(y) - b$ splits completely if and only if τ is the identity. Since $\tau \neq 1$, this proves (3.2a) cannot hold for b unless it is a branch point. Now assume b is in the branch locus. Lift the Frobenius generator of $\hat{\mathbb{F}}_{\mathcal{P}}/\mathbb{F}_{\mathcal{P}}$ to the decomposition group $D_{\mathcal{P}}$ of a place of $\Omega_{f,\mathcal{P}}$ over the place $x \mapsto b$ of $\mathbb{F}_{\mathcal{P}}(x)$. All such lifts τ' of τ lie in a coset of the inertia group $I_{\mathcal{P}}$ of \mathcal{P} . The curve cover by f is nonsingular. So, what τ does to the zeros of $f(x) - b$ determines the Frobenius on the residue class field of the cover over b . Since we assume complete splitting, τ' must be an element of the inertia group. Here, however, the inertia group is in G , contrary to our assumption about τ . Showing that (3.2b) cannot hold is similar, except the conclusion comes from all n -cycles being in G . ■

Remark 3.3. Compositions of polynomials and [ChZ]. It was well known that cyclic and Chebychev polynomials are counterexamples to the Chowla–Zassenhaus conjecture. The referee and [GM] note that [ChZ] does not clearly exclude easy composite polynomial counterexamples to their conjecture. More generally, $f_1(f_2(x)) = f(x)$ with $f_1 = x^{n_1}$, $n_1 > 2$, and f_2 of degree exceeding 1 would be counterexamples. Here is the

reason following the proof above. Let n be the degree of f . We need to know that no n -cycle in the group of the splitting field of $f(x) - z$ over \mathbb{F}_p can be a Frobenius. Suppose p is a prime for which we know an n_1 -cycle cannot be a Frobenius in the splitting field Ω_1 of $f_1(x) - z$. This follows from the following observation.

Let T be the degree n permutation representation of the group of the bigger splitting field. Let T_1 be the representation of the quotient group for Ω_1 . Since f is a composite with f_1 , T acts on blocks of integers, with each block corresponding to an integer of the representation T_1 . Thus, if an element g of the big group is an n -cycle in the representation T , it has exactly one orbit on the blocks corresponding to the representation T_1 . In particular, if g represents the Frobenius for some b , it would give an n_1 -cycle representing the Frobenius on restriction to Ω_1 . This contradicts our assumptions. We think of this omission in [ChZ] as a minor oversight.

4. CLASSIFYING WHICH C IN A_n GIVE (A_n, S_n) REALIZATIONS

The constants of Ω_f for $f \in \mathbb{Q}[x]$ have limited options. To see this we need a classification of the geometric monodromy groups of such polynomials. Müller [M] gives what we want.

\mathbb{Q} -POLYNOMIAL MONODROMY THEOREM 4.1. *Suppose $f \in \mathbb{Q}[x]$ is indecomposable and G is its geometric monodromy group. Then, G is either S_n , A_n , cyclic, dihedral, $\mathrm{PGL}_2(5)$, $\mathrm{PGL}_2(8)$, or $\mathrm{PGL}_2(9)$. The latter have representations of degree 6, 9, and 10, respectively.*

Historical Remarks 4.2. *Polynomials over a number field different from \mathbb{Q} .* Müller also gives a complete classification of the geometric monodromy groups of polynomials over \mathbb{Q} . Here, too, there are only sporadic examples, other than A_n , S_n , cyclic, and dihedral groups. Nevertheless, the sporadic examples are interesting. For Theorem 4.1, Müller uses the beginnings of the subject in [Fr4], more than a decade before the classification of finite simple groups. The Branch Cycle Argument alone eliminated a geometrically viable class of groups as geometric monodromy groups from Theorem 4.1. Before we knew for certain their identities, we labeled the G in this list by the following properties.

G has two faithful, doubly transitive representations $T_1, T_2: G \rightarrow S_n$.
(4.1a)

T_1 and T_2 are inequivalent permutation representations,
but equivalent as ordinary complex group representations. (4.1b)

There exists $\sigma_z \in G$ with $T_1(\sigma_z)$ and $T_2(\sigma_z)$ both n -cycles. (4.1c)

Projective linear groups acting on projective space of 2 or more dimensions were key examples. The sporadic examples of Theorem 4.1 act on projective 1-space. Following the classification, with help from Feit and Kantor, [Fr7] fulfilled an outline from [Fr6] to show there are exactly six polynomial degrees from this list: 7, 11, 13, 15, 21, and 31. This is about half of the sporadic examples in [M]. Example 4.7 contains the degree 7 case.

We give four examples to test (A_n, S_n) realization over \mathbb{Q} . The first is generic for satisfying the necessary hypotheses. Since these polynomials give covers with more than three branch points, it shows how polynomials are simpler than the general applications of the theory. The second considers when n is a square. The examples of this degree from Proposition 2.2 did not give (A_n, S_n) realizations; we still do not have any. The third example is similar to Proposition 2.2, except for one point: The transitivity condition in the rigidity hypothesis does not hold. As a consequence, it does not give (A_n, S_n) realizations over \mathbb{Q} . Of interest: It is not for the failure of the rational union conjugacy class hypothesis. This is the simplest example I know of its failure. The fourth, and most challenging, example considers polynomials with all branch cycles, of finite branch points, products of two disjoint 2-cycles.

EXAMPLE 4.3. *Generic 3-cycle case and variants when all branch cycles are cycles in A_n .* Suppose a polynomial f of f odd, nonsquare degree $n > 3$ has 3-cycles as finite branch cycles. The Riemann–Hurwitz formula (conclusion of Proposition 2.2 in Section 3) shows this number is $r - 1$, where $2(n - 1) = n - 1 + 2(r - 1)$. That is, there are $(n - 1)/2$ such finite branch points. Suppose further, monic $f \in \mathbb{Q}[y]$ gives an (A_n, S_n) realization over \mathbb{Q} with all its branch cycles 3-cycles. Then $df/dy = ng(y)^2$, where $g \in \mathbb{Q}[y]$ is monic and has *distinct* roots. (If they were not distinct, the multiplicity of the branch points would be higher than 3). Further, the image of the roots of g under f are distinct. (Otherwise, there would be more than two disjoint cycles of length greater than 1 in some branch cycle.) The branch cycle argument with the Irrational Cycle Lemma implies that f gives an (A_n, S_n) realization over \mathbb{Q} . All that remains is to produce the polynomials f . This, however, is easy.

Monic, degree $(n - 1)/2$, polynomials form an affine space $V_{(n-1)/2} = \mathbb{A}^{(n-1)/2}$. Square any representing polynomial g and form an antiderivative. Denote this $\int g^2 dx$. Except that it is in \mathbb{Q} , we do not care about the choice of constant. The general \mathbb{Q} point of $V_{(n-1)/2}$ represents a polynomial $g(y)$ with distinct roots. Further, for such a general polynomial, these roots have distinct images under $\int g^2 dx$. All such examples come from this process.

We generalize the previous paragraphs. Let $\mathbf{C} = (C_1, C_2, \dots, C_{r-1}, C_r)$ with C_r the conjugacy class of an n -cycle, and C_i the conjugacy class of k_i -cycles, $1 < k_i < n-1$, $i = 1, \dots, r-1$. The Riemann–Hurwitz formula shows that there are polynomials having such branch cycles if and only if

$$\sum_{i=1}^{r-1} k_i - 1 = n - 1. \quad (4.2)$$

If $\sigma_i \in C_i$, $i = 1, \dots, r-1$, and these σ_i 's generate a transitive group, Lemma 4.6 shows it is automatic their product is an n -cycle. As above, find $f \in \mathbb{Q}[y]$ with this type of branching. The derivative of f has the form

$$(y - a_1)^{k_1-1}(y - a_2)^{k_2-1} \cdots (y - a_{r-1})^{k_{r-1}-1}$$

with $a_i \in \mathbb{Q}$ and $f(a_1), \dots, f(a_{r-1})$ distinct. An open subset of affine \mathbb{A}^{r-1} -space represents polynomials with appropriate a_1, \dots, a_{r-1} . A Zariski open subset of the \mathbb{Q} points gives the polynomials we want.

EXAMPLE 4.4. A_n when n is an odd square. Recall the failure of Proposition 2.2 to give (A_n, S_n) realizations for n a square. This does not, however, mean there are no (A_n, S_n) realizations over \mathbb{Q} for this n . I will illustrate complications with $n = 9$ using the irrational Cycle Addendum. According to this the only other conjugacy classes in A_n that are not rational have cycle type (1)(3)(5). Let σ be an element of this type. A 9-cycle and σ generate a primitive group: σ^3 is a 5-cycle, and the group contains a 3-cycle, σ^5 . So it is A_n . For example,

$$((2\ 3\ 4)(5\ 6\ 7\ 8\ 9), (1\ 2\ 5), (1\ 2\ \dots\ 9)^{-1}) \quad (\bullet_1)$$

are branch cycles of a cover from $f \in \mathbb{C}[y]$.

Check if the hypotheses of Rigidity Results 3.1 apply to give an (A_n, S_n) realization. Choose $(\sigma_1, \sigma_2, \sigma_3)$, where σ_1 has the cycle type of σ , σ_2 is a 3-cycle, and σ_3 is a 9-cycle. Conjugate by an element of S_9 to assume $\sigma_3 = (1\ 2\ \dots\ 9)^{-1}$ and the 1-cycle of σ_1 is 1. We have normalized, so the Rigidity Results hold if and only if there is exactly one such 3-tuple. Alas, there are exactly 2: (\bullet_1) and

$$((7\ 8\ 9)(2\ 3\ 4\ 5\ 6), (1\ 2\ 7), (1\ 2\ \dots\ 9)^{-1}). \quad (\bullet_2)$$

Finally, Proposition 2.1 explains why no polynomials over \mathbb{Q} have these branch cycles. If they exist, the Irrational Cycle Addendum says extension of constants would be $\mathbb{Q}(\sqrt{-3 \cdot 5})$. On the other hand, from

total ramification over ∞ , the extension of constants must be in $\mathbb{Q}(\sqrt{-3})$. These two fields are different, so the extension of constants is trivial. This contradicts Proposition 2.1 for the conjugacy class of σ_2 . Still, there may be other ways to get an (A_9, S_9) realization by polynomials over \mathbb{Q} for $n = 9$. These, however, would have all conjugacy classes rational. For example, the (2)(2) polynomials of degree 9 in Example 4.7 might give such an example.

Suppose we want to use a conjugacy class C that is not rational to force an (A_n, S_n) realization (for $n = w^2$ an odd square) over \mathbb{Q} . To avoid the problem above, we must assure $\mathbb{Q}(\alpha_\sigma)$, $\sigma \in C$, is a subfield of $\mathbb{Q}(\zeta_n)$. The argument above shows that you can not do this for $n = 25$. You can, however, find m_i 's that work for $n = (3 \cdot 5)^2$. Take C a conjugacy class of elements of form

$$(7 \cdot 3)(7 \cdot 5)(13^2) = (m_1)(m_2)(m_3).$$

The m_i 's are distinct odd integers that sum to 225. The field $\mathbb{Q}(\alpha_\sigma)$ in the Irrational Cycle Addendum is $\mathbb{Q}(\sqrt{-3 \cdot 5})$ because the vectors for 7 and 13 map to 0 under μ .

Assume there is a monic polynomial $f \in \mathbb{Q}$ with its cover having the appropriate branch cycle description. The other branch cycle above a finite point must be a 3-cycle or a product of two disjoint 2-cycles. Let us try the former. All points above the finite branch points for σ must be rational. Suppose a_i corresponds to the m_i -cycle, $i = 1, 2, 3$. With no loss take $a_3 = 0$. Then,

$$\frac{df}{dy} = 225(y - a_1)^{7 \cdot 3 - 1}(y - a_2)^{7 \cdot 5 - 1}y^{13^2 - 1}(y - b)^2 \quad \text{and}$$

$$f(a_1) = f(a_2) = f(0).$$

Mathematica showed us there are no rational solutions for $a_1 \neq a_2$ and b (as in Example 4.5). Thus, this choice of m_i 's for $n = 225$ does not produce an (A_{225}, S_{225}) realization by polynomials over \mathbb{Q} .

So, we need another idea, for there are many such situations to check as n increases. In fact, the main result of [RT] says the following. For $n > 24$ and $p < n - 2$ a prime, there are distinct odd integers l_1, \dots, l_s with $n = p + \sum_{j=1}^s l_j^2$. Take p to be a prime dividing n . Apply the Irrational Cycle Addendum. Suppose $f \in \mathbb{Q}[y]$, of degree n , has a branch cycle of form $(p)(l_1^2) \cdots (l_s^2)$. Then, the extension of constants must be $\mathbb{Q}(\sqrt{(-1)^{(p-1/2)}p})$. As $p \mid n$, this is in $\mathbb{Q}(\zeta_n)$. We know no general criterion to eliminate this case. ([RT] informs us, [JK] contains a version of our Irrational Cycle Addendum.)

EXAMPLE 4.5. *A three branch point non-rigid A_n cover.* Follow notation of Proposition 2.2 with $n \geq 5$, odd and squarefree. Let C be the

conjugacy classes of the triple $(\sigma_1, \sigma_2, \sigma_3) \in A_n^3$ with $\sigma_1 = (1\ 2)(3\ 4)$, $\sigma_2 = (1\ 3\ 5\ 6\ 7\ \dots\ n)$ and $\sigma_3 = (1\ 2\ \dots\ n)^{-1}$. Check easily the geometric monodromy group is A_n .

As in Proposition 2.2, give representatives for conjugation of S_n on $\Sigma(\mathbb{C}^{S_n})$:

$$\sigma_i = ((1\ 2)(i\ i+1), (1\ 3\ \dots\ i\ i+2\ i+3\ \dots\ n), \sigma_3), \\ i = 3, \dots, (n+1)/2.$$

This means that for any possible pair of points, $x_1, x_2 \in \mathbb{Q}$, there are $(n-3)/2$ inequivalent polynomial covers with x_1 and x_2 as their finite branch points. Given any such cover, $f: \mathbb{P}_y^1 \rightarrow \mathbb{P}_x^1$, compose f on the right with a linear map $\lambda(x) = ux + v$, where $\lambda(0) = x_1$ and $\lambda(1) = x_2$. Since $x_1, x_2 \in \mathbb{Q}$, so are $u, v \in \mathbb{Q}$. Thus, the nature of the covers does not depend on the branch points. This does not work unless there are two finite branch points and these are in \mathbb{Q} . That happens here because the conjugacy classes are distinct in S_n .

We investigate whether some representing polynomial $f(y)$ for these covers is in $\mathbb{Q}[y]$. Assume it is. Take its derivative to be $g(y) = (y-a)(y-b)y^{n-3} \in \mathbb{Q}[y]$. Conclude that either a, b are in \mathbb{Q} or they are conjugate over \mathbb{Q} . Further, our hypotheses give two other conditions:

$$f(x) = y^n/n - (a+b)y^{n-1}/(n-1) + aby^{n-2}/(n-2) + d \\ \text{and } f(a) = f(b). \quad (4.3)$$

Note that $d \in \mathbb{Q}$ is arbitrary. The last half of (4.3) gives a degree n equation in $b/a = \alpha$. Simplify to

$$(n-2)(\alpha^n - 1) = n(\alpha^{n-1} - \alpha). \quad (4.4)$$

The solution $\alpha = 1$ implies $a = b$. Remove this; it is contrary to the first element of σ_i being a product of two disjoint 2-cycles. Indeed, a check of the first and second derivatives of (4.4) shows $\alpha = 1$ is a solution of multiplicity 3.

Synthetically divide to get a polynomial with no roots equal 1: $(\alpha - 1)^2$ divides

$$h_n(\alpha) = (n-2)\alpha^{n-1} - 2(\alpha + \dots + \alpha^{n-2}) + (n-2). \quad (4.5)$$

For $n = 5$, α 's different from 1 satisfy $3\alpha^2 + 4\alpha + 3 = 0$. The two complex conjugate roots are in $\mathbb{Q}(\sqrt{-5})$. For this case, there is a polynomial over \mathbb{Q} .

For general (odd) n , there is a polynomial over \mathbb{Q} exactly when

$h_n(\alpha)/(\alpha - 1)^2$ has a degree 2 factor over \mathbb{Q} . We suspect there is none for odd $n > 5$. Still, we do not see how to prove this. For example,

$$h_7(\alpha)/(\alpha - 1)^2 = 5\alpha^4 + 8\alpha^3 + 9\alpha^2 + 8\alpha + 5. \quad (4.6)$$

Mathematica says that this is irreducible. Moreover, it says that $h_n(\alpha)/(\alpha - 1)^2$ over \mathbb{Q} is irreducible for all odd n I tried, up to $n = 31$.

Now consider the generic situation containing the phenomenon of Example 4.5. This concludes the paper, leaving several practical mysteries for further progress on the classification of (A_n, S_n) realizations over \mathbb{Q} by polynomials. Lemma 4.6 discusses groups having generators with each a product of two disjoint 2-cycles. In particular, it analyzes the case these generators are branch cycles for finite branch points of a polynomial cover. [Fr6, Fr7] discuss the history of *Davenport polynomials*. Lemma 4.6 includes the degree 7 examples with $\text{PSL}_3(\mathbb{F}_2)$ as monodromy group. Recall two combinatorial definitions. The *index* $\text{ind}(\sigma)$ of $\sigma \in S_n$ of cycle type $(m_1) \cdot \dots \cdot (m_t)$ (include length 1 cycles) is $n - t$. Here is how the i th braid operation Q_i acts on an r -tuple:

$$(\sigma)Q_i = (\sigma_1, \dots, \sigma_{i-1}, \sigma_i \sigma_{i+1} \sigma_i^{-1}, \sigma_i, \sigma_{i+2}, \dots, \sigma_r), \\ i = 1, \dots, r - 1. \quad (4.7)$$

LEMMA 4.6. *Suppose $\sigma \in S'_n$ is a branch cycle description of a polynomial cover; σ_r is the n -cycle. Then, for $1 \leq i < j \leq r - 1$,*

$$\text{ind}(\sigma_i \sigma_j) = \text{ind}(\sigma_i) + \text{ind}(\sigma_j). \quad (4.8a)$$

If $\tau_1, \dots, \tau_{r-1} \in S_n$ generate a transitive group and $\sum_{j=1}^{r-1} \text{ind}(\tau_j) \leq n - 1$, then,

$$\sum_{j=1}^{r-1} \text{ind}(\tau_j) = n - 1, \quad \text{and} \quad \tau_1 \cdot \dots \cdot \tau_{r-1} \text{ is an } n\text{-cycle}. \quad (4.8b)$$

Now, suppose $n > 3$ is an odd integer. Further assume:

$$\sigma \in S'_n \text{ is a branch cycle description of a polynomial cover;} \quad (4.9a)$$

$$\sigma_r \text{ is an } n\text{-cycle;} \quad (4.9b)$$

$$\sigma_i \text{ is a product of two disjoint 2-cycles, } i = 1, \dots, r - 1. \quad (4.9c)$$

Then, $r - 1 = (n - 1)/2$ and the geometric monodromy group G of the cover is A_n if and only if G contains a 3-cycle. For $n > 7$, G is A_n . For

$n = 5$, $G = D_5$, the dihedral group. For $n = 7$ it is either $\text{PSL}_3(\mathbb{F}_2)$ or A_7 ; both appear.

Proof. There are five parts. Three are statements on indices. Two compute the braid action on branch cycles for polynomial covers with group $\text{PSL}_3(\mathbb{F}_2)$. There are two orbits. Part D of Example 4.7 explains conclusions from this.

Part A: Proof of statement (4.8b). Consider $\tau_1, \dots, \tau_{r-1}$ satisfying the hypotheses prior to (4.8b). Let $\tau_r = (\tau_1 \cdot \dots \cdot \tau_{r-1})^{-1}$. Since $\tau_1, \dots, \tau_{r-1}$ generate a transitive group, applying the Riemann–Hurwitz formula to τ_1, \dots, τ_r gives the following. There is an integer $g \geq 0$ such that

$$2(n + g - 1) = \sum_{i=1}^{r-1} \text{ind}(\tau_i) + \text{ind}(\tau_r) \leq n - 1 + \text{ind}(\tau_r) \leq 2(n - 1). \quad (4.10)$$

Thus, $g = 0$ and equality holds through (4.10): $\text{ind}(\tau_r) = n - 1$, so τ_r is an n -cycle. Conclude from Riemann's Existence Theorem 1.1 that τ_1, \dots, τ_r is a description of the branch cycles of a polynomial cover.

Part B: Proof of statement (4.8a). Start with a σ from the first sentence of the lemma. Characterization: σ_r is an n -cycle and the genus is 0. The Riemann–Hurwitz formula says (4.2) holds where we replace $k_i - 1$ by the index of σ_i . So,

$$\text{ind}(\sigma_1) + \text{ind}(\sigma_2) = n - 1 - \sum_{i=3}^{r-1} \text{ind}(\sigma_i). \quad (4.11)$$

Now replace $\text{ind}(\sigma_1) + \text{ind}(\sigma_2)$ in (4.11) with $\text{ind}(\sigma_1\sigma_2)$. Suppose we prove

$$\text{ind}(\sigma_1) + \text{ind}(\sigma_2) \geq \text{ind}(\sigma_1\sigma_2). \quad (4.12)$$

Then, apply Part A to $(\sigma_1 \cdot \sigma_2, \sigma_3, \dots, \sigma_{r-1})$ to conclude equality of the left and right sides of (4.12). The index, however, of σ is also the fewest 2-cycles whose product equals σ . Multiply the smallest product for σ_1 and for σ_2 to give a product of 2-cycles for $\sigma_1\sigma_2$, showing (4.12). This proves (4.8a) for $i = 1, j = 2$.

To prove (4.8a) for general $i < j \leq r - 1$, apply the braid

$$Q = Q_{i-1}^{-1} Q_{i-2}^{-1} \cdot \dots \cdot Q_1^{-1} Q_{j-1}^{-1} \cdot \dots \cdot Q_2^{-1}$$

to σ to get σ' satisfying the hypotheses before (4.8a). The former σ_i and σ_j are now σ'_1 and σ'_2 .

Part C: $\sigma_i, i = 1, \dots, r-1$, is a product of two disjoint 2-cycles. The value of r follows from the Riemann–Hurwitz formula: $2(n-1) = 2(r-1) + (n-1)$, since each finite branch cycle adds 2 to the right-hand side. Let G be the group the σ generate. Primitivity is easy to prove as in the first part of the proof of Proposition 2.2. The group must be A_n if $n > 7$, from Müller’s classification for all polynomials. Involutions in PSL_{k+1} acting on points of \mathbb{P}^k , $k \geq 2$, can not be products of two 2-cycles unless $k = 2$ and $n = 7$ is the number of points. Details start in Parts D and E. The case $n = 5$ is clear: involutions σ_1 and σ_2 must generate the dihedral group of order 10.

Part D: Start of the case $n = 7$. Order branch cycles in all cases so $\sigma_4 = (1\ 2\ \dots\ 7)^{-1}$. Here is an example where the branch cycles generate A_7 :

$$\sigma = ((1\ 2)(3\ 4), (1\ 3)(5\ 7), (1\ 5)(6\ 7), \sigma_4). \quad (4.13)$$

Here $\sigma_1\sigma_3 = (1\ 2\ 5)(3\ 4)(6\ 7)$. Thus, its square is a 3-cycle and the group is A_7 .

Now, we display branch cycles for polynomials over \mathbb{C} having monodromy group $\mathrm{PSL}_3(\mathbb{F}_2)$, acting on the seven points of $\mathbb{P}^2(\mathbb{F}_2)$, projective 2-space over \mathbb{F}_2 . There are two families of these. These correspond, as in (4.1a)–(4.1c), to the equivalent, but not permutation equivalent, representations of $\mathrm{PSL}_3(\mathbb{F}_2) = G$ on lines and planes. Let $T_1: G \rightarrow S_7$ be a representation of this group on the 7 points. Let $\bar{T}_1: G \rightarrow \mathrm{GL}_7(\mathbb{Q})$ be the associated linear representation.

There exists a matrix $M \in \mathrm{GL}_7(\mathbb{Q})$, such that $M^{-1} \circ \bar{T}_1 \circ M = \bar{T}_2$ has the following property. It is a permutation representation of G giving the action of G on the lines of the projective space. It arises from a second permutation representation of G . No permutation matrix represents M , so these representations are not permutation equivalent. Example 4.7 explains why these families have no members over \mathbb{Q} , as in Historical Remarks 4.2. There are also polynomials with group A_n where each of the three finite branch cycles is a product of two disjoint 2-cycles. Example 4.7 discusses these in detail.

In both representations T_1 and T_2 , $\sigma_4 = (1\ 2\ \dots\ 7)^{-1}$. Polynomial notation can give a better picture of the relation between the representations. Take g , the polynomial produced by branch cycles for the representation T_2 , and h , the corresponding polynomial for branch cycles in the representation T_1 . The zeros z_1, \dots, z_7 of $g(z) - x$ (representing lines of $\mathbb{P}^2(\mathbb{Z}/2)$) are related to the zeros y_1, \dots, y_7 of $h(y) - x$ (representing points of $\mathbb{P}^2(\mathbb{Z}/2)$) by the formula $z_1 = y_1 + y_{\alpha_2} + y_{\alpha_3}$. That is, the splitting fields Ω_h and Ω_g are equal as extensions of $\mathbb{C}(x)$. Here, $\{1, \alpha_2, \alpha_3\}$ is a different set modulo 7. This means that the pairs of nonzero differences

run over all elements of \mathbb{F}_7 (in our case, each appearing once). There are two (translation inequivalent) difference sets modulo 7, $\{1, 2, 4\}$ and its negative. Each involution in $\mathrm{PSL}_3(\mathbb{F}_2) = G$ comes from a reflection in a line, so each will fix all points of some line. We now do the case where the translates of the difference set $\{1, 2, 4\}$ consist of sets of points constituting lines in the projective space.

Directly compute the possibilities for $\sigma_1, \sigma_2, \sigma_3$ from this. Conjugation of each by some power of σ_4 must fix all elements of $\{1, 2, 4\}$.

Part E: $\mathrm{PSL}_3(\mathbb{F}_2)$ branch cycles. Label finite branch cycles $(\sigma_1, \sigma_2, \sigma_3)$ for a polynomial h as Y_1, \dots, Y_7 . There are exactly 7 up to conjugation by S_7 .

$Y_1: ((3\ 5)(6\ 7), (4\ 5)(6\ 2), (3\ 6)(1\ 2));$ $Y_2: ((3\ 5)(6\ 7), (3\ 6)(1\ 2), (3\ 1)(4\ 5));$
 $Y_3: ((3\ 5)(6\ 7), (1\ 6)(2\ 3), (4\ 5)(6\ 2));$ $Y_4: ((3\ 5)(6\ 7), (1\ 3)(4\ 5), (2\ 3)(1\ 6));$
 $Y_5: ((3\ 7)(5\ 6), (1\ 3)(4\ 5), (2\ 3)(4\ 7));$ $Y_6: ((3\ 7)(5\ 6), (2\ 3)(4\ 7), (1\ 2)(7\ 5));$
 $Y_7: ((3\ 7)(5\ 6), (1\ 2)(7\ 5), (1\ 3)(4\ 5)).$

Now compute the effect of the braid operators Q_1 and Q_2 on Y_1, \dots, Y_7 . Apply standard notation in S_7 by having them act on $1, \dots, 7$. (This is not the action through the representations T_1 and T_2 .)

$Q_1 = (3\ 5\ 1)(4\ 7\ 6\ 2)$ and $Q_2 = (1\ 3\ 4\ 2)(5\ 7\ 6)$ (see Part B of Example 4.7).
 (4.14)

Observe that Q_1 and Q_2 are transitive on the Y 's. This shows that the collection of polynomials with these descriptions of branch cycles forms a connected space $\mathcal{H}(G, \mathbb{C})$. The next calculation assures that the variety (one of those in Section 3) is unirational (see Part D of Example 4.7). In particular, it has many rational points over the field of its definition. Analogous examples from [DFr, Fr6, Fr7, Fr9, and MM] show the rationale behind this calculation. From Q_1 and Q_2 , produce a_{12} , a_{13} and $(a_{12}a_{13})^{-1}$ which have product 1 and generate a transitive subgroup of S_7 :

$$a_{12} = Q_1^{-2} = (1\ 3\ 5)(2\ 7)(4\ 6); \quad (4.15a)$$

$$a_{13} = Q_1 Q_1^{-2} Q_1^{-1} = (3\ 4\ 7)(1\ 6)(2\ 5); \quad (4.15b)$$

$$(a_{12}a_{13})^{-1} = (7\ 6\ 5)(1\ 4)(2\ 3). \quad (4.15c)$$

Riemann's Existence Theorem 1.1 says that these are branch cycles for a 3-branch point, degree 7 cover $\psi: \mathcal{W} \rightarrow \mathbb{P}^1$. The geometric monodromy group of this cover is A_7 , though it is not a polynomial cover. By Riemann–Hurwitz, the genus $g(\mathcal{W})$ of \mathcal{W} is $0: 2(7 + g - 1) = 3 \cdot 4$. Since

the degree of ψ is 7, there is an odd degree divisor on \mathcal{W} defined over the field $K = \mathbb{Q}(\sqrt{-7})$ of definition of the cover. Part D of Example 4.7 explains the meaning of this curve. A genus 0 curve having an odd degree divisor over K is isomorphic to \mathbb{P}^1 over K . Thus, the function field of $\mathcal{H}(G, \mathbb{C})$ is a subfield of $K(x_2, x_3, w)$. Coordinates x_2 and x_3 represent two of the branch points of the general cover in the family; w is a variable for a copy of projective 1-space. ■

EXAMPLE 4.7. (2)(2) *polynomials*. Following the first part of Example 4.3, $n > 5$ is odd and nonsquare and there are $(n - 1)/2 = r - 1$ branch cycles corresponding to finite branch points. Here, however, instead of 3-cycles, each branch cycle is a product of two disjoint 2-cycles. That is, $\mathbf{C} = (C_1, \dots, C_{r-1}, C_r)$ with each of C_1, \dots, C_{r-1} the conjugacy class of $(1\ 2)(3\ 4)$. Here are conclusions from Lemma 4.6. Elements from this conjugacy class will have product an n -cycle if and only if they generate a transitive group. If $n > 7$, such elements generate A_n . Suppose a polynomial $f \in \mathbb{Q}[y]$ gives a cover with its finite branch cycles products of two disjoint 2-cycles. Call f a (2)(2) polynomial. It is automatic from Proposition 2.1 that a (2)(2) polynomial over \mathbb{Q} produces an (A_n, S_n) realization (for n odd and nonsquare).

Now we investigate if there are (2)(2) polynomials $f \in \mathbb{Q}[y]$. With no loss, assume the derivative of f is

$$g(y) = \prod_{i=1}^{(n-1)/2} (y - a_i)(y - a'_i), \quad (4.16a)$$

where

$$f(a_i) = f(a'_i) \quad \text{and} \quad f(a_i) \neq f(a_j), \quad 1 \leq i < j \leq (n - 1)/2. \quad (4.16b)$$

This is a complicated set of equations. See Synopsis of Unsolved Problems 4.9 for $n > 7$. The remainder completes the work of Lemma 4.6 on the case $n = 7$ in four parts. The first three do the case where the geometric monodromy group is A_7 . The last summarizes the description of the collection satisfying (4.16). We follow the work of Parts D and E of the proof of Lemma 4.6. For $n = 7$ our final conclusion is that if there are (2)(2) polynomials over a field K producing (A_7, S_7) realizations, then K must contain $\mathbb{Q}(\sqrt{21})$. Further, over $\mathbb{Q}(\sqrt{21})$ there are many (2)(2) polynomials producing such realizations.

Part A: *Branch cycles for (2)(2) polynomials*. As in Part D of Lemma 4.6, order branch cycles in all cases so $\sigma_4 = (1\ 2\ \dots\ 7)^{-1}$. For relevant σ , there are three possibilities for the cycle types of $\sigma_1 \cdot \sigma_2$:

$$U_1: (5); U_2: (3)(2)(2); U_3: (4)(2); U_4: (3)(3). \quad (4.17)$$

From Lemma 4.6 it is automatic that σ giving U_1 or U_2 has group A_7 . The example of (4.13) has types U_1, U_2, U_3 appearing in paired products from the 3-tuple. We list all possibilities, up to conjugation, having the cycle types of (4.17). These will be in the form $(\sigma_1 \sigma_2, \sigma_3)$ after coalescing σ_1 and σ_2 . Then, for each, we list corresponding pairs (σ_1, σ_2) . Part of the calculation determines the conjugating power of σ_4 on σ to give the following normalizations.

For U_1 , choose $(\sigma_1 \cdot \sigma_2, \sigma_3)$ to be one of the two representations that appear for $n = 7$ in Example 4.5. (4.18a)

For U_2 , 1 appears in the 3-cycle of $\sigma_1 \cdot \sigma_2$, but not in σ_3 . (4.18b)

For U_3 and U_4 , 1 does not appear in $\sigma_1 \cdot \sigma_2$. (4.18c)

Coalescing Y_2, Y_4, Y_6 , and Y_7 in Lemma 4.6, Part D, gives one U_3 example, $U_3[3]: (2\ 3\ 4\ 7)(5\ 6), (1\ 2)(5\ 7)$. Coalescing similar branch cycles for the negative of the difference set $\{1, 2, 4\}$ gives another, $U_3[4]: (2\ 5\ 6\ 7)(3\ 4), (1\ 2)(5\ 3)$. Neither of these gives A_7 as Galois group, so remove them. Here are the remaining normalized $(\sigma_1 \sigma_2, \sigma_3)$ pairs:

$$U_1[1]: (1\ 3\ 4\ 5\ 6), (1\ 7)(2\ 3); \quad U_1[2]: (1\ 2\ 4\ 5\ 6), (1\ 7)(4\ 3) \quad (4.19a)$$

$$U_2[1]: (1\ 4\ 7)(2\ 3)(5\ 6), (2\ 4)(7\ 5); \quad U_2[2]: (7\ 1\ 2)(6\ 3)(4\ 5), (3\ 7)(4\ 6) \quad (4.19b)$$

$$U_3[1]: (2\ 3\ 6\ 7)(4\ 5), (1\ 2)(4\ 6); \quad U_3[2]: (6\ 3\ 4\ 5)(2\ 7), (1\ 2)(3\ 7) \quad (4.19c)$$

$$U_4[1]: (4\ 5\ 6)(2\ 3\ 7), (1\ 2)(7\ 4); \quad U_4[2]: (3\ 4\ 5)(2\ 6\ 7), (1\ 2)(6\ 3). \quad (4.19d)$$

Excluding $U_4[1]$ and $U_4[2]$, any σ that coalesces to one of these will have monodromy group A_7 . For each U_i we need a scheme to label the σ 's that coalesce to these. Here is an example.

$$U_1[i; j] \text{ replaces the 5-cycle with the product of } ((2)(2), (2)(2)) \text{ with } j \text{ in the 5-cycle missing from the support of 2-cycles in the first coordinate.} \quad (4.20a)$$

In (4.20a), $U_1[1; 6]$ replaces $(1\ 3\ 4\ 5\ 6)$ with $((5\ 1)(3\ 4), (5\ 3)(1\ 6))$.

$$U_2[i; j, \alpha] \text{ replaces } (j\ k\ l)\alpha\alpha' \text{ with } ((j\ k)\alpha, (j\ l)\alpha'). \quad (4.20b)$$

$U_3[i; (i_1 i_3)^4]$ (resp., $U_3[i; (i_1 i_3)^2]$) replaces $(i_1 i_2 i_3 i_4)\alpha$ with $((i_1 i_3)\alpha, (i_2 i_3)(i_1 i_4))$ (resp., $((i_1 i_2)(i_3 i_4), (i_1 i_3)\alpha)$). (4.20c)

$U_4[i; k_1, l_1]$ replaces $(k_1 k_2 k_3)(l_1 l_2 l_3)$ with $((k_1 k_2)(l_1 l_2), (k_1 k_3)(l_1 l_3))$. (4.20d)

Exclude three (4.20d) cases that appear in Part D of Lemma 4.6: $U_4[2; 5, 6]$, $U_4[2; 3, 7]$, and $U_4[2; 2, 4]$. Similarly, exclude three cases that come from the other difference set in Part D: $U_4[1; 4, 3]$, $U_4[1; 5, 7]$, and $U_4[1; 6, 2]$. Note that the order of the last two integers in the U_4 descriptions is irrelevant, but we must put them in *some* order. Condense notation by writing $U_i[???]$ as $i_{[???]}$.

Part B: Two orbits of $(2)(2)$ polynomials with monodromy group A_7 . As in Part E of Lemma 4.6, compute the action of Q_1 and Q_2 on the list of (4.20). Two illustrations show how to program the calculation:

$$\begin{aligned} (2_{[1;4,(23)]})Q_1 &= ((4\ 7)(2\ 3), (4\ 1)(5\ 6), (2\ 4)(7\ 5))Q_1 \\ &= ((7\ 1)(4\ 5), (6\ 4)(2\ 7), (2\ 4)(7\ 5)) = 2_{[1;7,(56)]}. \end{aligned} \quad (4.21a)$$

Once you have a list of the possible 3-tuples, the action of Q_1 is easy. The action of Q_2 requires one tricky identification step:

$$\begin{aligned} (2_{[1;4,(23)]})Q_2 &= ((4\ 7)(2\ 3), (2\ 1)(7\ 6), (4\ 1)(5\ 6)) \\ &\equiv ((7\ 3)(6\ 5), (4\ 5)(3\ 2), (1\ 2)(7\ 4)) = 4_{[1;5,3]}. \end{aligned} \quad (4.21b)$$

The place of \equiv is where we normalize by a uniform translation of all integers in the middle triple. To figure the correct translation, multiply the first and second entries of the middle triple (here, $(4\ 7)(2\ 3)$ and $(2\ 1)(7\ 6)$). From this, compare with the list of (4.20), and produce the label easily after translation. The final permutations are as follows:

$$\begin{aligned} Q_1 &= (1_{[1;6]}1_{[1;3]}1_{[1;5]}1_{[1;1]}1_{[1;4]})(1_{[2;6]}1_{[2;2]}1_{[2;5]}1_{[2;1]}1_{[2;4]}) \\ &\quad (2_{[1;4,(23)]}2_{[1;7,(56)]}2_{[1;1,(23)]}2_{[1;4,(56)]}2_{[1;7,(23)]}2_{[1;1,(56)]}) \\ &\quad (2_{[2;2,(36)]}2_{[2;7,(45)]}2_{[2;1,(36)]}2_{[2;2,(45)]}2_{[2;7,(36)]}2_{[2;1,(45)]}) \\ &\quad (3_{[1;(26)^4]}3_{[1;(26)^2]}3_{[1;(37)^4]}3_{[1;(37)^2]}) \\ &\quad (3_{[2;(46)^4]}3_{[2;(46)^2]}3_{[2;(35)^4]}3_{[2;(35)^2]}) \\ &\quad (4_{[1;4,2]}4_{[1;5,3]}4_{[1;6,7]})(4_{[1;4,7]}4_{[1;5,2]}4_{[1;6,3]}) \\ &\quad (4_{[2;3,2]}4_{[2;4,6]}4_{[2;5,7]})(4_{[2;3,6]}4_{[2;4,7]}4_{[2;5,2]}); \end{aligned}$$

$$\begin{aligned}
Q_2 = & (1_{[1;6]}2_{[1;4,(2\,3)]}4_{[1;5,3]})(1_{[1;3]}4_{[2;3,2]}2_{[1;1,(5\,6)]}) \\
& (1_{[1;5]}4_{[1;6,7]}4_{[2;5,7]}1_{[1;4]}3_{[2;(3\,5)^1]}3_{[2;(4\,6)^1]}) \\
& (1_{[1;1]}2_{[1;4,(5\,6)]}3_{[2;(4\,6)^2]}2_{[1;1,(2\,3)]})(1_{[2;2]}2_{[2;7,(3\,6)]}3_{[1;(3\,7)^1]}) \\
& (1_{[2;6]}2_{[2;2,(3\,6)]}1_{[2;4]}4_{[2;5,2]}3_{[1;(3\,7)^2]}4_{[1;6,3]}) \\
& (1_{[2;5]}4_{[1;4,7]}2_{[2;2,(4\,5)]}4_{[2;4,7]})(1_{[2;1]}3_{[1;(2\,6)^1]}2_{[2;1,(3\,6)]}) \\
& (2_{[1;7,(5\,6)]}4_{[2;4,6]}4_{[1;4,2]}2_{[1;7,(2\,3)]}3_{[2;(3\,5)^2]}) \\
& (2_{[2;7,(4\,5)]}2_{[2;1,(4\,5)]}4_{[1;5,2]}3_{[1;(2\,6)^2]}4_{[2;3,6]}).
\end{aligned}$$

The permutations Q_1 and Q_2 generate a group, \mathcal{G} . Alone, Q_1 is transitive on each of the sets $U_1[1]$, $U_1[2]$, $U_2[1]$, $U_2[2]$, $U_3[1]$, and $U_3[2]$. Further, Q_2 connects $U_1[1]$ to $U_2[1]$ and $U_3[2]$, and to the elements of the first and third cycles of U_4 's appearing in Q_1 . This gives one orbit, for a transitive representation $\mathcal{T}_1: \mathcal{G} \rightarrow S_{21}$. The remaining symbols fall in a second orbit of \mathcal{G} , giving the representation $\mathcal{T}_2: \mathcal{G} \rightarrow S_{21}$. The image groups $\mathcal{T}_i(\mathcal{G})$ are A_{21} , $i = 1, 2$. The images of Q_i under both representations are conjugate in A_{21} , $i = 1, 2$.

Two Orbits Result. The above process gives two distinct connected families, \mathcal{H}_1 and \mathcal{H}_2 , of $(2)(2)$ polynomial covers of degree 7. Each has monodromy group A_7 . Further, take any set of three distinct points in \mathbb{Q} . There are 21 distinct polynomial covers $f: \mathbb{P}_y^1 \rightarrow \mathbb{P}_x^1$ (up to equivalence by linear change of the y -variable) in each family having those three points as branch points.

Part C: Properties of \mathcal{H}_1 and \mathcal{H}_2 . Consider $\mathcal{T}_1(Q_1)$ and $\mathcal{T}_1(Q_2)$, the permutations the braids give on the first orbit. As in Part E of Lemma 4.6, compute the elements a_{ij} . Simplify the subscripting notation by dropping the surrounding $[\]$ and also by dropping reference to which of the U_j pairs the symbols belong. So abbreviated, the following table gives the action of Q_1 and Q_2 on the \mathcal{T}_1 symbols:

$$\begin{aligned}
Q_1 = & (1_6 1_3 1_5 1_1 1_4) \\
& (2_{4,(2\,3)} 2_{7,(5\,6)} 2_{1,(2\,3)} 2_{4,(5\,6)} 2_{7,(2\,3)} 2_{1,(5\,6)}) \\
& (3_{(4\,6)^1} 3_{(4\,6)^2} 3_{(3\,5)^1} 3_{(3\,5)^2}) \\
& (4_{4,2} 4_{5,3} 4_{6,7})(4_{3,2} 4_{4,6} 4_{5,7}); \\
Q_2 = & (1_6 2_{4,(2\,3)} 4_{5,3})(1_3 4_{3,2} 2_{1,(5\,6)}) \\
& (1_5 4_{6,7} 4_{5,7} 1_4 3_{(3\,5)^1} 3_{(4\,6)^1}) \\
& (1_1 2_{4,(5\,6)} 3_{(4\,6)^2} 2_{1,(2\,3)})(2_{7,(5\,6)} 4_{4,6} 4_{4,2} 2_{7,(2\,3)} 3_{(3\,5)^2}).
\end{aligned}$$

This allows the genus computation as previously:

$$\begin{aligned} a_{12} = Q_1^{-2} &= (1_6 1_1 1_3 1_4 1_5)(2_{4,(23)} 2_{7,(23)} 2_{1,(23)}) \\ &\quad (2_{7,(56)} 2_{1,(56)} 2_{4,(56)})(3_{(46)^1} 3_{(35)^1})(3_{(46)^2} 3_{(35)^2}) \quad (4.22a) \\ &\quad (4_{4,2} 4_{5,3} 4_{6,7})(4_{3,2} 4_{4,6} 4_{5,7}); \end{aligned}$$

$$\begin{aligned} a_{13} = Q_1 Q_2^{-2} Q_1^{-1} &= (1_6 4_{5,7} 2_{7,(23)})(1_5 3_{(46)^1})(1_3 3_{(46)^2} 4_{4,6}) \\ &\quad (1_1 4_{5,3} 3_{(35)^2})(1_4 2_{1,(56)} 4_{4,2})(2_{7,(56)} 2_{1,(23)}) \quad (4.22b) \\ &\quad (2_{4,(23)} 2_{4,(56)} 4_{3,2} 3_{(35)^1} 4_{6,7}); \end{aligned}$$

$$\begin{aligned} (a_{13} a_{13})^{-1} &= (1_6 4_{5,3} 2_{4,(23)})(1_5 4_{5,7} 3_{(35)^1})(1_4 3_{(46)^1} 4_{6,7}) \\ &\quad (1_3 2_{1,(56)} 4_{3,2})(1_1 3_{(46)^2})(2_{7,(23)} 2_{7,(56)}) \quad (4.22c) \\ &\quad (2_{7,(23)} 2_{7,(56)} 4_{4,2} 3_{(35)^2} 4_{4,6}). \end{aligned}$$

As in Lemma 4.6, (4.22) is the branch cycles of a three branch point cover $\mathcal{X} \rightarrow \mathbb{P}^1$ of degree 21. Unlike (4.15), where the genus of \mathcal{W} was 0, Riemann–Hurwitz gives the genus $g(\mathcal{X})$ of \mathcal{X} as $1: 2(21 + g(\mathcal{X}) - 1) = 3 \cdot (21 - 7)$.

Part D: The locus of (4.16) and the genus 1 curve \mathcal{X} . There are four absolutely irreducible components of the locus of (4.16) when $n = 7$. Two of these are varieties whose function fields are the function fields of \mathcal{H}_1 and \mathcal{H}_2 with some rational parameters adjoined. These each parametrize a family of polynomials of degree 7 with geometric monodromy group A_7 . If we can find members of either of these families over \mathbb{Q} , they give us (A_7, S_7) realizations by polynomials over \mathbb{Q} . We accomplish this if we can answer the following questions affirmatively. From [Fr1, Thm. 5.1 or FrV], \mathcal{H}_1 and \mathcal{H}_2 have a minimal field of definition K with $[K: \mathbb{Q}] \leq 2$.

DISJOINT 2-CYCLE STATEMENT 4.8. *The minimal field of definition of \mathcal{H}_1 and \mathcal{H}_2 is $K = \mathbb{Q}(\sqrt{21})$. In particular, there are no (A_7, S_7) realizations from (2)(2) polynomials over \mathbb{Q} . Nevertheless, \mathcal{H}_1 and \mathcal{H}_2 are unirational over K and they contain dense sets of K points. Each such K point provides a unique (2)(2) polynomial producing an (A_7, S_7) realization over K .*

Proof. We have given references for everything here, except the unirationality statement and the properties of the field K . We first discuss the meaning and proof of the unirationality statement. For this, let K be the minimal field of definition of \mathcal{H}_1 and \mathcal{H}_2 as moduli spaces for families of polynomials. We do our calculations over K . The argument of Proposition 2.1 combined with the unirational statement shows the following. Let M be any field containing K . Then there are (2)(2) polynomials over M giving

(A_7, S_7) realizations if and only if M does not contain $\mathbb{Q}(\sqrt{-7})$. In particular, the conclusions of the statement follow if we show $K = \mathbb{Q}(\sqrt{21})$.

[Fr9] does an easier, but similar example where the analog there of \mathcal{X} also has genus 1.

Let x_1^*, x_2^*, x_3^* be algebraically independent indeterminates over \mathbb{Q} , representing the finite branch points of a $(2)(2)$ polynomial cover. The space \mathcal{H}_1 is a cover of the space $\mathbb{A}_0^3 = \mathbb{A}^3 \setminus D_3$ of unordered distinct triples that $\mathbf{x}^* = (x_1^*, x_2^*, x_3^*)$ represent. That is, coordinates for \mathbb{A}^3 are symmetric functions in the x_i^* 's. The Q_i 's come from generators of the fundamental group B_3 of \mathbb{A}_0^3 .

Transitive permutation representations of a fundamental group produce connected unramified covers of the space. The representations \mathcal{T}_1 and \mathcal{T}_2 are transitive, and the spaces \mathcal{H}_1 and \mathcal{H}_2 are the result of applying this theory [Fr1, Section 4]. The points of these spaces give coordinates for the coefficients of $(2)(2)$ polynomials having A_7 as monodromy group. From [Fr1, Thm. 5.1], members of this family are in \mathbb{Q} if and only if \mathcal{H}_1 or \mathcal{H}_2 has a rational point. These manifolds can not have \mathbb{Q} points unless they have \mathbb{Q} as a field of definition. This happens if and only if \mathcal{H}_1 and \mathcal{H}_2 are not conjugate under the absolute Galois group of \mathbb{Q} . Now we describe the role of \mathcal{X} .

The space $\mathbb{A}^3 \setminus \Delta_3 = \mathbb{A}_x^3$ of ordered triples of (x_1^*, x_2^*, x_3^*) naturally maps to \mathbb{A}_0^3 . Choose x_2^* and x_3^* generically. Then, we may take the cover $\phi: \mathcal{X} \rightarrow \mathbb{P}_{x_1^*}^1$ arising from the branch cycles of (4.22) to ramify over x_2^*, x_3^*, ∞ . The space \mathcal{X} consists of the points of \mathcal{H}_1 representing $(2)(2)$ covers having finite branch points at x_2^*, x_3^* (and some third point whose coordinate is a value of x_1^*). Our computation determines that \mathcal{X} is a genus 1 curve over $K(x_2^*, x_3^*)$ with a degree 21 map to $\mathbb{P}_{x_1^*}^1$. Regarding x_2^* and x_3^* as variables, this gives a birational map generically finite-to-one,

$$\mathcal{X} \times \mathbb{P}_{x_2^*}^1 \times \mathbb{P}_{x_3^*}^1 \rightarrow \mathcal{H}_1 \quad (4.23)$$

sending $(\mathbf{h}, x_2^*, x_3^*)$ to $\mathbf{h} \in \mathcal{H}_1$.

Here is the key observation. Let $\lambda(x_1^*)$ be the affine function over $K(x_2^*, x_3^*)$ that maps ∞ to ∞ and switches x_2^* and x_3^* . Form $\lambda \circ \phi = \phi'$. Then,

$$\text{there exists an analytic isomorphism } \tau: \mathcal{X} \rightarrow \mathcal{X} \text{ for which } \phi' = \phi \circ \tau. \quad (4.24a)$$

Here is the reason (see the A_5 example of [Fr9] for details).

Over any given value of x_1^* the fibers of ϕ and ϕ' have points that correspond to exactly the same $(2)(2)$ polynomial covers. Note that this is from transitivity of the a_{ij} 's, a stronger property than transitivity of the

Q_i 's, on the symbols of the representation \mathcal{T}_1 . Thus, (4.24a) gives us a cover

$$\phi_\tau: \mathcal{X}/\tau \rightarrow \mathbb{P}^1/\lambda \quad (4.24b)$$

from the respective quotients of \mathcal{X} and $\mathbb{P}_{x_1}^1$. The map $\mu: \mathbb{P}^1 \rightarrow \mathbb{P}^1/\lambda$ ramifies. By counting the degrees of the maps, ϕ is the pullback of ϕ_τ via μ so the natural map $\mathcal{X} \rightarrow \mathcal{X}/\tau$ ramifies. The image of a ramified cover by a genus 1 curve is a genus 0 curve. Conclude:

\mathcal{X}/τ is genus 0 and ϕ_τ has degree 21. The function field $K(\mathcal{X}/\tau, x_2^*, x_3^*)$ is pure transcendental over K , and it contains the function field of \mathcal{H}_1 . (4.25)

Use the argument at the end of Part E of the proof of Lemma 4.6 to see that degree 21 (odd) implies \mathcal{X}/τ provides one transcendental parameter. Expression (4.24), in particular, implies \mathcal{H}_1 is unirational over K .

To conclude the proof of Statement 4.8, we have only to show $K = \mathbb{Q}(\sqrt{21})$. We use an argument similar to that in [Ma, Kap. III, Section 2, Satz 5a] (or [MM, Chap. I, Thm. 6.3a]). Consider covers $X \rightarrow \mathbb{P}^1$ with the following description of branch cycles. They have form $\sigma = (\sigma_1, \sigma_2, \sigma_3)$ with σ_3 a 7-cycle, σ_1 a product of two disjoint 2-cycles, and $\sigma_2 = (4)(2)$ (a product of a disjoint 4-cycle and 2-cycle). This type of branch cycle description already appears in the discussion around (4.19). This shows that both groups $\mathrm{PSL}_2(7)$ and A_7 correspond to geometric monodromy groups having covers with branch cycles of this type. Let $\phi: X \rightarrow \mathbb{P}^1$ be any cover with this type of branch cycle description and let (x_1, x_2, x_3) be the corresponding finite branch points, in order. Now let $\psi: W \rightarrow \mathbb{P}^1$ be a degree 2 cover ramified over x_2 and ∞ only. Form the fiber product of ϕ and ψ over \mathbb{P}^1 . This produces the curve cover

$$Z = X \times_{\mathbb{P}^1} W = \{(u, w) | u \in X, w \in W, \phi(u) = \psi(w)\}.$$

Normalize the irreducible cover Z so the resulting curve is nonsingular. Then, regard it as a cover $\mu: Z \rightarrow W = \mathbb{P}_w^1$ by projection on the second factor.

The two points of W over x_1 are each branch points for this cover, and their branch cycles are also products of disjoint 2-cycles. The (unique) point over x_2 on W has cycle type $(2)(2)$. This is an application of *Abhyankar's Lemma*: pullback has canceled the ramification of the point on X corresponding to the 2-cycle in $(4)(2)$. Further, the unique point on X corresponding to the 4-cycle splits into two points on Z which ramify in the map μ to order 2. Finally, because ψ and ϕ are both totally ramified

over ∞ and $(2, 7) = 1$, μ is totally ramified over ∞ . Here is the conclusion from all this.

The covers in any of the families of Lemma 4.6 or Example 4.7 come from this pullback process. Let K' be the minimal field of definition of the family of covers $\phi: X \rightarrow \mathbb{P}^1$ which in addition have A_7 as geometric monodromy group. If $[K': \mathbb{Q}] = 2$, then K is certainly in K' . Indeed, by changing coordinates in W you can see that all covers in the family of this example arise by this pullback process. Thus, $K' = K$. Malle has produced the fields of definition of many three branch point families. In particular, those of the type of ϕ appear [Mal, p. 153]: $K' = K = \mathbb{Q}(\sqrt{21})$. Similar type tables for four branch point covers also appear in [Pr]. In particular, there are programs and resources for someone seeking to find computational help for such problems.

Compare the families of (2)(2) polynomials with group $\mathrm{PSL}_3(\mathbb{Z}/2)$ and those with group A_7 . For the former, there is an automorphism given by a matrix M that takes the 7-cycle to its inverse. Since, however, no element of S_7 represents this automorphism, the Branch Cycle Argument guarantees no polynomial in this family is in $\mathbb{Q}[y]$. Rather, every field of definition of a polynomial in this family contains $\mathbb{Q}(\zeta_7 + \zeta_7^2 + \zeta_7^4) = \mathbb{Q}(\sqrt{-7})$. This is the fixed field of the multiplier of a 7-cycle in $\mathrm{PSL}_3(\mathbb{Z}/2)$. Thus, there is a solid group theoretical reason why this family has field of definition strictly larger than \mathbb{Q} . For both types of polynomials, there are pure transcendental parameters we may specialize to achieve example polynomials. However, for the second pair of families, we have no simple explanation of the field of definition being larger than \mathbb{Q} . ■

We purposely avoided braid actions and Hurwitz spaces up to Lemma 4.6 and Example 4.7 to keep the exposition elementary. Example 4.7, however, shows the benefit of the Hurwitz space approach. This turns the description of those examples into a computation with the Hurwitz monodromy group as in [FrV, Fr6, Fr7].

SYNOPSIS OF UNSOLVED PROBLEMS 4.9. *Statement 4.8 has a negative conclusion. Resolution of the following list of problems might well reveal if there could be a reasonable classification of (A_n, S_n) realizations over \mathbb{Q} . (See Examples 4.4, 4.5, and 4.7 for notation.)*

Are the zeros of $h_n(\alpha)/(\alpha - 1)^2$ all of degree exceeding 2 for odd $n > 5$. (4.26a)

Are there any (A_n, S_n) realizations by polynomials over \mathbb{Q} when $n > 4$ is an odd square? (4.26b)

Are there (2)(2) polynomials over \mathbb{Q} when $n > 7$ is odd? (4.26c)

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